# Nuclear reactions in the early universe I

# Mark Paris – Los Alamos Nat'l Lab Theoretical Division ISSAC 2014 UCSD

### Acknowledgments

#### IGPPS University Collaborative subcontract #257842

- "Towards a Unitary and Self-Consistent Treatment of Big Bang Nucleosynthesis"
- Started FY2014
- \$45k of LDRD-DR for first year (before -9%)
- Supporting grant: LANL Institutional Computing
  - Project Name: w14\_bigbangnucleosynthesis
  - Project duration: 2 years (commenced April '14)
  - Year1: 1M, Year2: 1M CPU-hours
- LANL Collaborators
  - T-2: Gerry Hale, Anna Hayes & Gerry Jungman



# Supporting activities 2013—2014

- Paris T-2 staff member [Jan. 2012 hire]
  - International conferences (2 invited, 1 contributed), seminars, workshops
  - 4 peer-review publications on light nuclear reactions
  - LANL Institutional Computing 2 year grant
  - LDRD-ER (FY15): BBN proposal oral review 14 May '14 (yesterday)
- Fuller Director CASS, UCSD
  - Conferences, colloquia, workshops (many)
  - Publications (many)
  - NSF Grant No. PHY- 09-70064 at UCSD
- Grohs Graduate Program UCSD ABD
  - 15 Feb 2013-Sterile Neutrinos: Dark Matter, Neff, and BBN Implications-CASS Journal Club-UCSD; 10 Sep 2013-Nucleosynthesis, Neff, and Neutrino Mass Implications from Dark Radiation-NUPAC Seminar-UNM; 13 Jan 2014-Nucleosynthesis, N<sub>eff</sub>, and Neutrino Mass Implications from Dark Radiation-HEP Seminar-Caltech; 14 Feb 2014-Evidence (to the trained eye) for Sterile Neutrino Dark Matter-CASS Journal Club-UCSD; 28 Mar 2014-Photon Diffusion in the Early Universe-PCGM30 (Pacific Coast Gravity Meeting)-UCSD; 18 Apr 2014-Neutrinos in Cosmology I-CASS Journal Club-UCSD
  - Dissertation targeted Spring 2015



# Organization

#### Nuclear reactions in the early universe

- Lectures (Paris/E. Grohs)
  - I. Overview of cosmology/Kinetic theory/Big bang nucleosynthesis (BBN)
  - II. Scattering & reaction formalism/Neutrino energy transport
- Workshop sessions (E. Grohs/Paris)
  - BBN exercises: compute Nuclear Statistical Equilibrium/electron fraction
  - II. Compute primordial abundances vs  $\Omega_b h^2$ : code parallelization
- Lecture notes
  - □ Will be available online (URL TBA)



# Possibly useful references

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### Outline

#### Lecture I

- Overview
- Cosmological dynamics in GR
- Big bang nucleosynthesis (BBN)
- Boltzmann equation
  - Flat & curved spacetime

#### <u>Lecture II</u>

- Unitary reaction network (URN) of light nuclei
- Neutrino energy transport
- Evan Grohs: observations of primordial abundances



- □ Cosmological Standard Model ∧ CDM
- Formation of <sup>4</sup>He, deuterium (D), <sup>3</sup>H, <sup>3</sup>He, <sup>7</sup>Be/Li, ... in the primordial 'fireball'
- Epochs (Hot/dense > cool/rarified)
  - Planck > GUT/Inflation > EWPT > QHT > BBN > RC > GF/LSS
- □ Time of BBN: ~1sec  $\rightarrow$  ~10<sup>2</sup> sec; T<sub>BBN</sub>: ~1 MeV  $\rightarrow$  ~10 keV
- Relevant physics: cooling thermonuclear reactor
  - work of expansion cools radiation & matter
  - weak (neutrino) & strong nuclear interactions (& ???)
  - Boltzmann transport, non-equilibrium phenomena
- Comparison to observations
  - stunning successes: CMB, helium, deuterium
  - perplexing anomalies: dark matter/energy, lithium problem











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# Observations [more from Evan G. tomorrow]

#### Observational astronomy

- existing 10m-class telescopes: Keck, ...
  - Gold-plated: 2% D meas. Pettini & Cooke '13
- adaptive optics
- space- & ground-based observatories
- planned 30<sup>+</sup>m-class telescopes: ELT, TMT, ...
- Cosmic microwave background
  - Planck, WMAP, PolarBear, APT, SPT, CMBPol, ...
- Implications
  - test physics beyond SM; lab tests difficult/impossible
  - precision constraints expected to test *nuclear* physics

#### Unprecedented precision for primordial nuclear abundances







# Standard FLRW Cosmology

Robertson, Walker show homogen., isotropic > Friedmann, Lemaître solution to GR unique:

 $G_{00} = 8\pi T_{00}; \quad g_{00} = 1, \quad g_{ij} = -a^2(t), \quad K_{space} \equiv 0; \quad \left(\frac{\dot{a}(t)}{a(t)}\right)^2 = \frac{8\pi G}{3}\rho(t)$ 

- □ The 'Old', Big Three observations
  - expansion: Hubble "constant,"  $H_0 = 67.1 \text{ km/s/Mpc}$  (Planck)
  - □ CMB: T = 2.73 K
  - BBN: concordance at baryon/photon ratio
- □ HIF universe ⇒ may only tune RHS of Einstein-Friedmann Eqn
  - radiation: photons, neutrinos, dark radiation
  - matter: baryonic, dark
  - ACDM model: set of assumptions to confront data
    - Wayne Hu (Uchicago): "alive and well" but issues with growth of density fluctuations



# Einstein-Friedmann equations (0)



□ An enduring legacy...





# Einstein-Friedmann equations (0)



□ An enduring legacy...

⇒ R=-6 -= 81



### Einstein-Friedmann equations (I)

Universe dynamics from GR \Left on energy-momentum density

$$G_{\mu\nu} = 8\pi T_{\mu\nu}$$
$$T_{\mu\nu} = -pg_{\mu\nu} + (p+\rho)u_{\mu}u_{\nu}$$

$$\Box \text{ Einstein/Ricci/Curv Scalar}$$

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R$$

$$R_{\mu\nu} = g^{\alpha\beta}R_{\alpha\mu\beta\nu} = R^{\beta}_{\ \mu\beta\nu} = R_{\alpha\mu}^{\ \alpha}_{\ \nu}$$

$$R = g^{\mu\nu}R_{\mu\nu}$$

$$R^{\mu}_{\ \nu\rho\sigma} = \frac{\partial\Gamma^{\mu}_{\ \nu\sigma}}{\partial x^{\rho}} - \frac{\partial\Gamma^{\mu}_{\ \nu\rho}}{\partial x^{\sigma}}$$

$$+ \Gamma^{\tau}_{\ \nu\sigma}\Gamma^{\mu}_{\ \rho\tau} - \Gamma^{\tau}_{\ \nu\rho}\Gamma^{\mu}_{\ \sigma\tau}$$

$$g_{00} = 1,$$
  $g_{ij} = a^2(t)\tilde{g}_{ij}$ 

$$\begin{split} \Gamma^{0}_{\ \ 00} &= \frac{1}{2} g^{0\alpha} (2g_{\alpha 0,0} - g_{00,\alpha}) = 0 \\ \Gamma^{0}_{\ \ i0} &= \frac{1}{2} g^{0\alpha} (g_{\alpha i,0} + g_{\alpha 0,i} - g_{i0,\alpha}) = 0 \\ \Gamma^{0}_{\ \ ij} &= \frac{1}{2} g^{0\alpha} (g_{\alpha i,j} + g_{\alpha j,i} - g_{ij,\alpha}) = -\frac{1}{2} g_{ij,0} = -\dot{a} a \tilde{g}_{ij} \\ \Gamma^{i}_{\ \ 00} &= \frac{1}{2} g^{i\alpha} (2g_{\alpha 0,0} - g_{00,\alpha}) = 0 \\ \Gamma^{i}_{\ \ j0} &= \frac{1}{2} g^{i\alpha} g_{\alpha j,0} = \frac{1}{2} \frac{1}{a^{2}} \tilde{g}^{ik} \frac{\partial [a^{2} \tilde{g}_{kj}]}{\partial x^{0}} = \frac{\dot{a}}{a} \delta^{i}_{\ \ j} = \Gamma^{i}_{\ \ 0j} \\ \Gamma^{i}_{\ \ jk} &= \frac{1}{2} \tilde{g}^{il} (\tilde{g}_{lj,k} + \tilde{g}_{lk,j} - \tilde{g}_{jk,l}) \equiv \tilde{\Gamma}^{i}_{\ \ jk}. \end{split}$$



### Einstein-Friedmann equations (II)

 $\Box$  Knowing energy density ( $\rho$ ) and pressure (p)

$$G_{\mu\nu} = 8\pi T_{\mu\nu}$$
$$\left(\frac{\dot{a}}{a}\right)^2 + \frac{k}{a^2} = \frac{8\pi}{3}\rho,$$
$$-\frac{\ddot{a}}{a} = \frac{4\pi}{3}(\rho + 3p),$$

Covariantly conserved energy-momentum (not indep. eqn.)  $T^{\mu\nu}_{;\nu} = 0$   $\dot{\rho} = -3(\rho + p)\frac{\dot{a}}{a}$ 

 $\Box$  Two equations for three unknowns:  $a(t), \rho(t), p(t)$ 

• Equation of state:  $p = w \rho^x$ 



# Einstein-Friedmann equations (III)





# Einstein-Friedmann equations (IV)

- Maximally symmetric subspace
  - Consequence of homogeneity & isotropy
  - 'Maximal' number L.I. Killing vector fields N(N+1)/2 (dim N)
  - Flows of Killing vector fields generate isometries of manifold
  - Friedman universe has MS spacelike hypersurfaces
- Tensors in MS spaces
  - scalar:

$$\partial_{\mu}S(x) = 0$$

vector:

$$A^{i}(x) \equiv 0 \qquad (A^{0}(x) \neq 0)$$

rank-2 tensor:

$$B_{ij} = B_{ji} = Cg_{ij} \qquad \qquad C \neq C(x)$$



# Standard BBN – <sup>7</sup>Li anomaly

- n/p ratio
  - exquisite sensitivity to neutrino distribution
  - **□** ~1:5
- Helium
  - exquisite sensitivity to neutrons
  - mass fraction Y<sub>p</sub>~1/4 (p:primordial)
- Deuterium
  - □ ~1:10<sup>5</sup>
  - Pettini & Cooke obs. better by fact 5
- 🗆 Lithium
  - mass A=7

• 3—5  $\sigma$  discrepancy > Li anomaly





# The New, 'Big Five' observations

#### GF: "VERY EXCITING situation developing . . . because of the advent of . . ."

- comprehensive cosmic microwave background (CMB)
   observations (WMAP, Planck, ACT, SPT, PolarBear, CMBPol,...)
  - N<sub>eff</sub>: "effective number" of relativistic species;Y<sub>p</sub>: <sup>4</sup>He mass fraction (relative to proton); η (Ω<sub>b</sub>): baryon-to-photon number fraction; Primordial deuterium abundance (D/H)<sub>p</sub>; Σm<sub>ν</sub>
- 10/30-meter class telescopes, adaptive optics, and orbiting observatories
  - e.g., precision determinations of deuterium abundance dark energy/ matter content, structure history etc.
- Laboratory neutrino mass/mixing measurements
  - mini/micro-BooNE, EXO, LBNE

GF: "is setting up a nearly over-determined situation where *new* Beyond Standard Model **neutrino physics** likely *must* show itself!"



# **ACDM:** Possible discrepancies (I)



Planck XVI (2013)

- "tension between the CMB-based estimates and the astrophysical measurements of H<sub>0</sub> is intriguing and merits further discussion"
- "highly model dependent"
- A CDM extraction
  - requires assumptions about relativistic energy density (RED)
  - extra RED could explain discrepancy



# ∧ CDM: Possible discrepancies (II)

#### Clustering

- Abundance of rare massive
   DM halos exponentially sensitive to growth of structure
- rms fluct. total mass 8 h<sup>-1</sup> Mpc spheres with variance

$$\sigma_R^2 = \int \frac{dk}{k} \mathcal{P}_m(k) \left[\frac{3j_1(kR)}{kR}\right]^2$$

- Discrepancy b/w CMB & lensing
- extra RED can reconcile CMBinferred o<sub>8</sub> with direct observational determinations

#### Dark matter & structure formation



Wayne Hu/2013 October



# **ACDM:** Possible discrepancies (III)



Probe neutrino sector by studying constraints on various scenarios imposed by precision BBN





# Possible solutions to lithium anomaly in **BBN**

- Astronomical explanation
  - Spite-Spite plateau
  - Robust? Melendez et.al.(2010) 'broken' -
- Nuclear physics
  - resonant destruction of mass 7 nuclides
    - <sup>9</sup>B compound system example (below)
  - Unitarity: fundamental, neglected property of QM
- Physics beyond the standard model (BSM)
  - new particles' effect on thermal history, etc.

Even if the lithium anomaly is not nuclear or BSM in origin, precision cosmology forces better treatment of nuclear and astroparticle physics





### Possible refinements to **BBN**

- Physics beyond the standard model
  - Increasing observational precision requires "sharpening the tool"
    - improve on existing BBN codes from late 60's
    - replace equilibrium thermal history > full neutrino transport
  - BBN can be used to test BSM & nuclear physics
- Fundamental principle of nuclear physics: Unitarity
  - Existing codes' nuclear reaction networks don't observe unitarity
  - LANL-developed unitary reaction network (URN) for thermonuclear boost & burn
  - Two objectives from nuclear physics perspective
    - Test LANL URN in similar (but different, high-entropy) environment
    - Address fundamental problem in cosmology
- NB: without correct URN, req'd. by QM, BSM physics uncertain



# BBN project: introduce a new theoretical tool

- Outline for the rest of talk
  - □ 1<sup>st</sup> refinement: neutrino sector
  - 2<sup>nd</sup> refinement: nuclear physics



# BBN project: introduce a new theoretical tool

- Outline for the rest of talk
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# Unitary, self-consistent primordial nucleosynthesis

- □ BBN as a tool for precision cosmology
  - incorporate unitarity into strong & electroweak interactions
  - couple unitary reaction network (URN) to full Boltzmann transport code
    - neutrino energy distribution function evolution/transport code
    - fully coupled to nuclear reaction network
    - calculate light primordial element abundance for non-standard BBN
      - active-sterile neutrino mixing
      - massive particle out-of-equilibrium decays→energetic active SM particles
  - New tools/codes for nuc-astro-particle community:
    - test new physics w/BBN
    - existing codes are based on Wagoner's (1969) code
      - we will improve this situation dramatically



# Kinetic theory: flat spacetime



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# Kinetic theory: curved spacetime

#### Liouville operator

$$\frac{d}{d\lambda}f(x^{\mu}(\lambda), p^{\mu}(\lambda)) = \frac{\partial f}{\partial x^{\mu}}\frac{dx^{\mu}}{d\lambda} + \frac{\partial f}{\partial p^{\mu}}\frac{dp^{\mu}}{d\lambda}$$
$$L_{F}(f(p,t)) = \left[\frac{\partial}{\partial t} - \frac{\dot{a}}{a}p\frac{\partial}{\partial p}\right]f(p,t) = \frac{1}{E}C(E)$$
$$p^{0} = E = (p^{2} + m^{2})^{1/2}$$

$$\begin{aligned} & {\rm Geodesic\ equation} \\ & p^\mu \equiv \frac{dx^\mu}{d\lambda} \\ & \frac{dp^\mu}{d\lambda} + {\Gamma^\mu}_{\nu\rho} p^\nu p^\rho = 0 \end{aligned}$$

#### Relativistic Boltzmann equation

$$n(t) = \int \frac{d^3p}{(2\pi)^3} f(p,t)$$
  
$$\dot{n}(t) + 3H(t)n(t) = a^{-3}\frac{d}{dt}(a^3n(t)) = \int \frac{d^3p}{(2\pi)^3}\frac{C(E)}{E}$$
  
$$H(t) = \frac{\dot{a}}{a}$$

Hubble expansion



 $\dot{\xi} + 3H\xi = 0$  $\dot{n} + 3Hn = \mathcal{C}[n]$  $\frac{\partial}{\partial t} \left(\frac{n}{\xi}\right) = \frac{1}{\xi}\mathcal{C}[n]$ 

# Entropy production

#### Boltzmann H-theorem

Entropy current

$$S^{\mu} = -\int \frac{d^3p}{(2\pi)^3} \frac{p^{\mu}}{p^0} \left[ f \log f \mp (1 \pm f) \log(1 \pm f) \right]$$
$$S^{\mu}_{;\mu} = -\int \frac{d^3p}{(2\pi)^3} \log f C(E) \ge 0$$
Equilibrium  $\implies S^{\mu}_{;\mu} \equiv 0$ 

Equivalence relations

- Collision integral is zero; proper entropy is constant; equilibrium distributions
- Collision integral non-zero; proper entropy generation; non-equilibrium



# Equilibrium distributions

□ Fermi-Dirac  

$$\mathscr{Z}_{FD} = \sum_{N=0}^{1} \left( e^{-\beta(\epsilon-\mu)} \right)^{N} = 1 + e^{-\beta(\epsilon-\mu)}.$$
  
 $f_{FD} = \langle N \rangle_{FD} = \frac{1}{e^{\beta(\epsilon-\mu)} + 1}$ 

#### Bose-Einstein

$$\mathscr{Z}_{BE} = \sum_{N=0}^{\infty} \left( e^{-\beta(\epsilon-\mu)} \right)^N = \frac{1}{1 - e^{-\beta(\epsilon-\mu)}},$$
$$f_{BE} = \langle N \rangle_{BE} = \frac{1}{e^{\beta(\epsilon-\mu)} - 1}$$

The equilibrium distributions satisfy the condition that the collision integral is zero. But here we derive them from the grand canonical ensemble.

Maxwell-Boltzmann

$$f_{BE} = f_{FD} \approx e^{-\beta(\epsilon - \mu)} = f_{MB}$$



# **Kinetic regimes**

- $\Box$  Equilibrium  $\Gamma \gg H(t)$ 
  - Hubble exp. negligible for kinetics
  - Forward/Reverse rates detail balance
  - Reaction rate sufficiently fast to explore much phase space
  - Caveat: FLRW no timelike Killing field
- $\Box$  Kinetic  $\Gamma \simeq H(t)$ 
  - Hubble exp. and reactions compete
  - Non-zero net=F-R rate
  - Boltzmann H-theorem: dS/dt>0 but ~ 0
    - However, assume adiabatic
- $\Box$  Decoupled  $\Gamma \ll H(t)$ 
  - e.g. Relativistic: T~a<sup>-1</sup>
  - Free-streaming; distribution frozen

#### Reaction rate

 $d\Gamma_{34,12} = dn_2 \langle \sigma_{34,12} v_{12,rel} \rangle$ 





# Cosmological transitions (Caveat Emptor)



### Reaction network reduction of Boltzmann eqn

■ Reaction network reduction of BEq. (classical, non-degenerate)  

$$\frac{1}{a^3} \frac{d}{dt} (a^3 n_{\alpha_1}) = \sum_{\alpha_2 \beta} \int_{\substack{p_{\beta_1} p_{\beta_2} \\ p_{\alpha_1} p_{\alpha_2}}}^{p_{\beta_1} p_{\beta_2}} (2\pi)^4 \delta^{(4)} (p_{\beta_1} + p_{\beta_2} - (p_{\alpha_1} + p_{\alpha_2})) \\
\times |\mathcal{M}_{\beta \alpha}|^2 (f_{\beta_1} f_{\beta_2} - f_{\alpha_1} f_{\alpha_2}) \\
= -\sum_{\alpha_2 \beta} n_{\alpha_1}^{(0)} n_{\alpha_2}^{(0)} \langle \sigma_{\beta \alpha} v_{\alpha} \rangle \left[ \frac{n_{\alpha_1} n_{\alpha_2}}{n_{\alpha_1}^{(0)} n_{\alpha_2}^{(0)}} - \frac{n_{\beta_1} n_{\beta_2}}{n_{\beta_1}^{(0)} n_{\beta_2}^{(0)}} \right] \\
\downarrow \\
\langle \sigma_{\beta \alpha} v_{\alpha} \rangle \equiv \frac{1}{N} \int \frac{d^3 p_{\beta_1}}{(2\pi)^3} \int \frac{d^3 p_{\beta_2}}{(2\pi)^3} |\mathbf{v}_1 - \mathbf{v}_2| d\sigma_{\beta \alpha} f_{\alpha_1} f_{\alpha_2} \\
\mathcal{N} = \int \frac{d^3 p_{\beta_1}}{(2\pi)^3} \int \frac{d^3 p_{\beta_2}}{(2\pi)^3} f_{\alpha_1} f_{\alpha_2} \equiv n_{\alpha_1}^{(0)} n_{\alpha_1}^{(0)}$$

$$n_i^{(0)} = g_i \int \frac{d^3 p}{(2\pi)^3} e^{-E_i/T} \approx g_i \left(\frac{m_i T}{2\pi}\right)^{3/2} e^{-m_i/T}$$



#### n-p weak equilibrium [Workshop exercise]

At high T ~ 10's MeV X<sub>n</sub> ~ X<sub>p</sub>~ 1/2
At 10 MeV > T > 1 MeV (ignore nucleons)  
$$n\nu_e \leftrightarrow pe^-, \qquad ne^+ \leftrightarrow p\bar{\nu}_e, \qquad n \leftrightarrow pe^-\bar{\nu}_e.$$

Equilibrium condition

$$\mu_p = \mu_n \implies \frac{n_n^{(0)}}{n_p^{(0)}} = e^{Q/T}$$
$$Q = m_n - m_p \simeq 1.293 \text{ MeV}$$



$$\begin{split} \frac{dX_n}{dt} &= -\lambda(n \to p)X_n + \lambda(p \to n)(1 - X_n) \\ \lambda(i \to j) &= n_\ell^{(0)} \langle \sigma_{ji} v_i \rangle \\ X_n &= \frac{n_n}{n_b} \qquad n_b = n_n + n_p \\ X_p &\approx X_{e^-} \end{split}$$



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# Big bang nucleosynthesis [Workshop exercise]

Full reaction network [NB: should be unitary]

$$\frac{dY_{\alpha_1}}{dt} = \sum_{\alpha_2\beta} \left[ -n_b \langle \sigma_{\beta\alpha} \rangle Y_{\alpha_1} Y_{\alpha_2} + n_b \langle \sigma_{\alpha\beta} \rangle Y_{\beta_1} Y_{\beta_2} \right]$$

$$\mu_A = Z \mu_p + N \mu_n$$

Relative abundances wrt  $Y_H$  vs. Plasma Temp. ( $\Omega_b h^2 = 2.207 \text{E-02}$ )





 $Y_i = \frac{n_i}{n_b}$ 



### End Lecture I

