

# Collective Neutrino Oscillations

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THE UNIVERSITY *of*  
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Neutrino & Nuclear Astrophysics*

# Outline

- ◆ Introduction & overview
- ◆ Understandings & insights
- ◆ New developments & challenges

# Ghostly particle

	I	II	III	
mass→	2.4 MeV	1.27 GeV	171.2 GeV	0
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
name→	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b><math>\gamma</math></b> photon
Quarks	4.8 MeV $-\frac{1}{3}$ $\frac{1}{2}$ <b>d</b> down	104 MeV $-\frac{1}{3}$ $\frac{1}{2}$ <b>s</b> strange	4.2 GeV $-\frac{1}{3}$ $\frac{1}{2}$ <b>b</b> bottom	0 0 1 <b>g</b> gluon
	<2.2 eV 0 $\frac{1}{2}$ <b><math>\nu_e</math></b> electron neutrino	<0.17 MeV 0 $\frac{1}{2}$ <b><math>\nu_\mu</math></b> muon neutrino	<15.5 MeV 0 $\frac{1}{2}$ <b><math>\nu_\tau</math></b> tau neutrino	91.2 GeV 0 1 <b>Z</b> weak force
	0.511 MeV -1 $\frac{1}{2}$ <b>e</b> electron	105.7 MeV -1 $\frac{1}{2}$ <b><math>\mu</math></b> muon	1.777 GeV -1 $\frac{1}{2}$ <b><math>\tau</math></b> tau	80.4 GeV $\pm 1$ 1 <b>W<math>^\pm</math></b> weak force
Leptons				Bosons (Forces)

Wikimedia: Standard Model of Elementary Particles

Cross Section (low energy):

$$\sigma \sim G_F^2 E_\nu^2$$

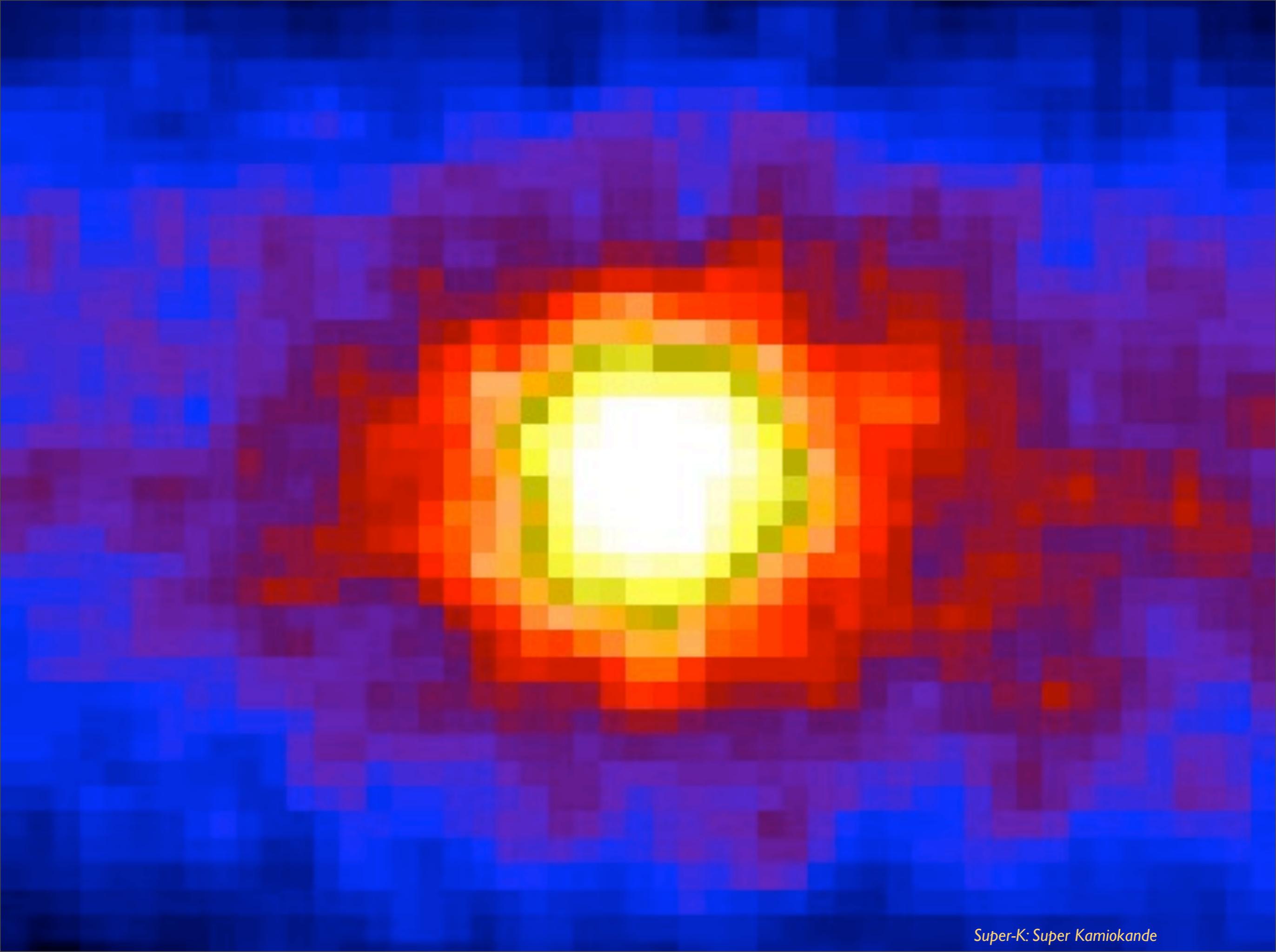
$$\simeq 10^{-44} \left( \frac{E_\nu}{1 \text{ MeV}} \right)^2 \text{ cm}^2$$

Mean Free Path:

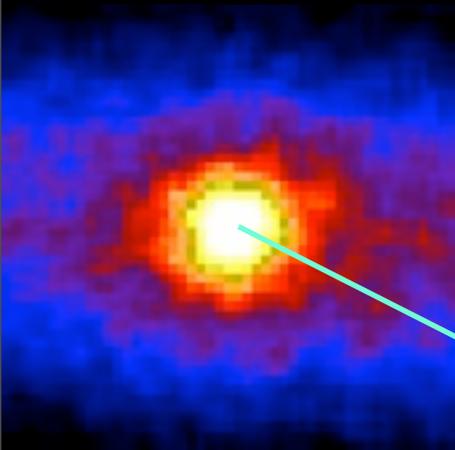
$$\lambda = \frac{1}{\sigma \rho_{\text{water}} N_A}$$

$$\simeq 10^{19} \left( \frac{E_\nu}{1 \text{ MeV}} \right)^{-2} \text{ cm}$$

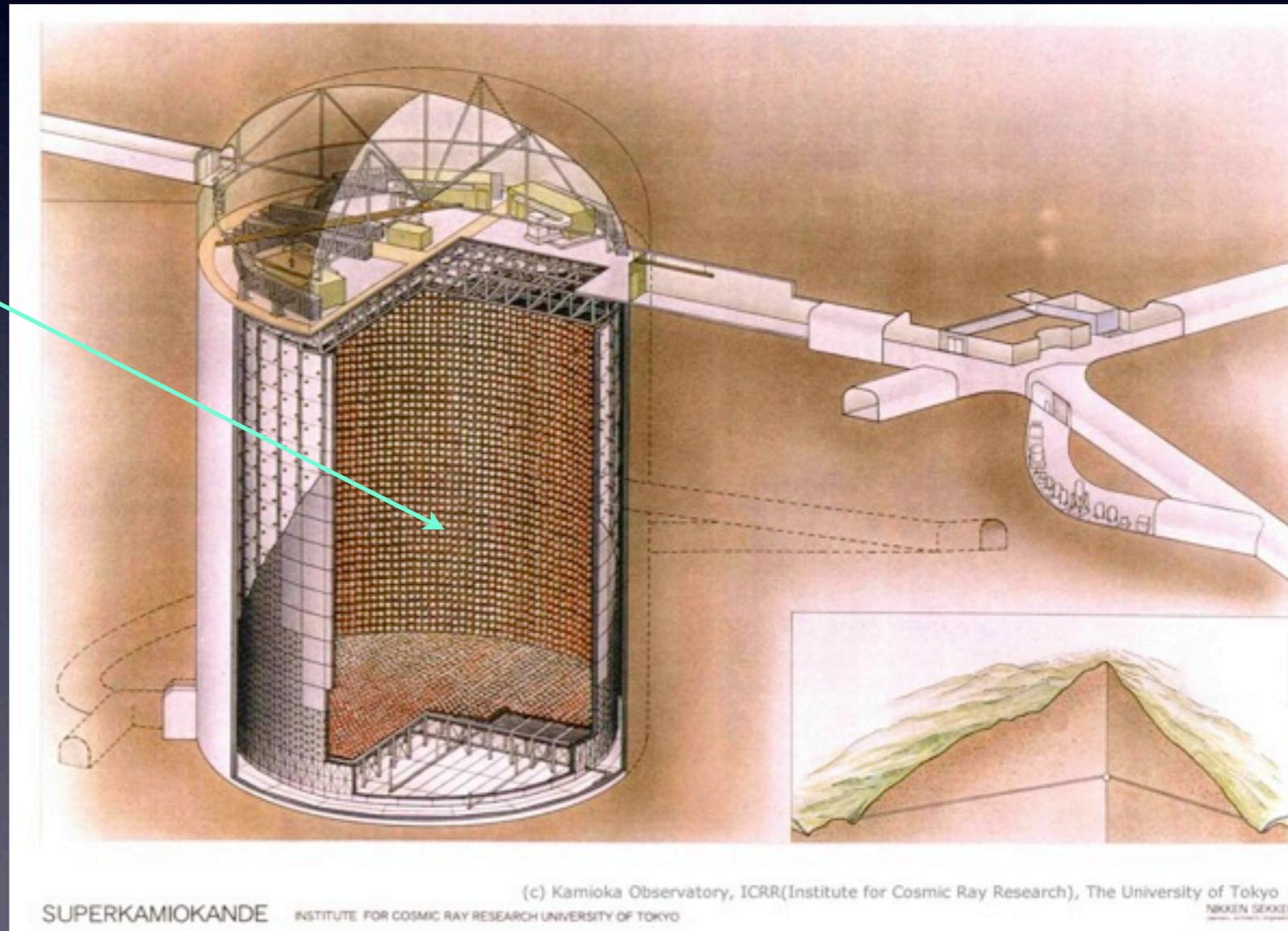
$$(1 \text{ AU} = 1.5 \times 10^{13} \text{ cm})$$



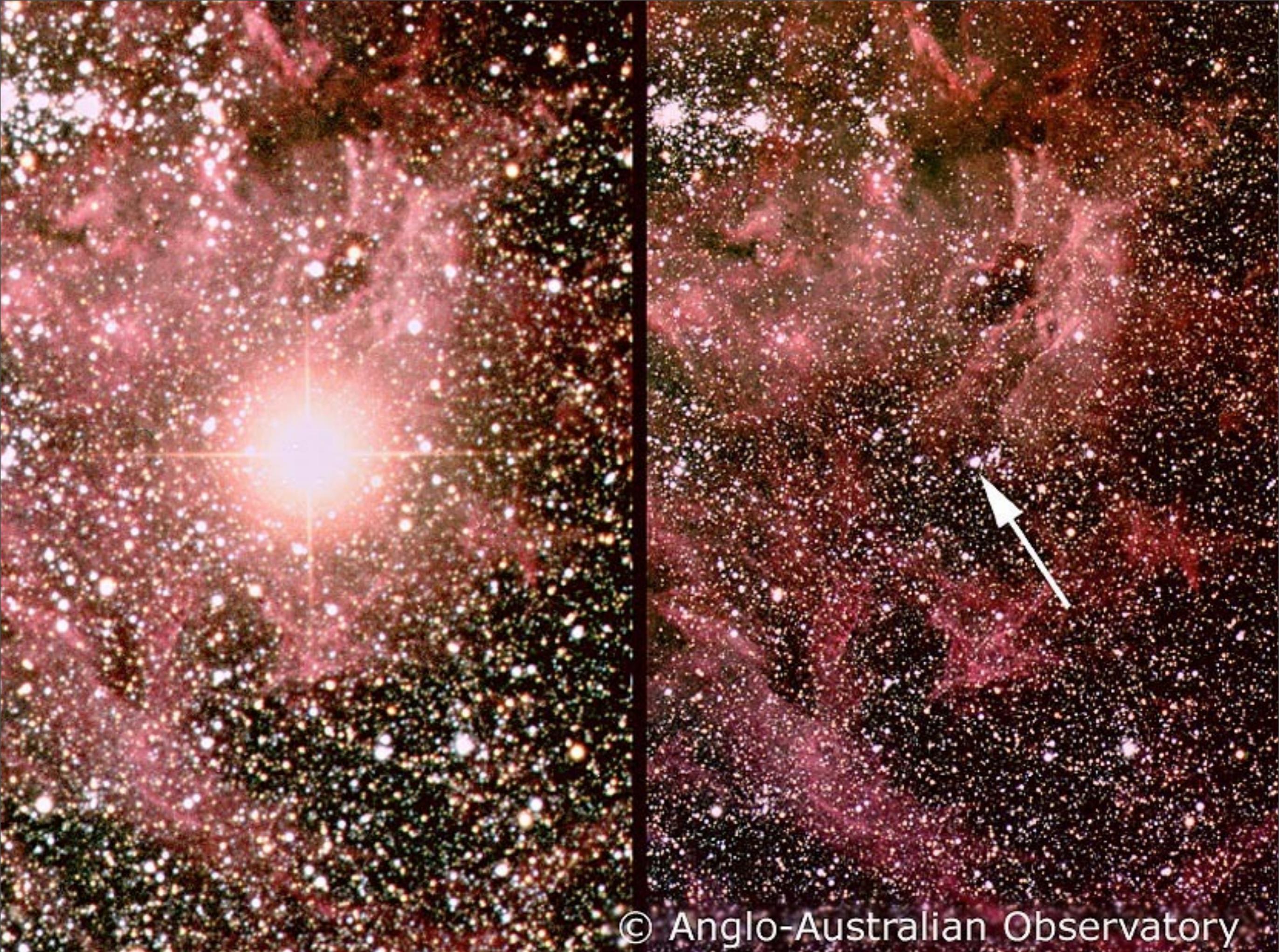
# Neutrino Astronomy



ES: Elastic Scattering  
 $\nu_x + e^{-} \rightarrow \nu_x + e^{-}$

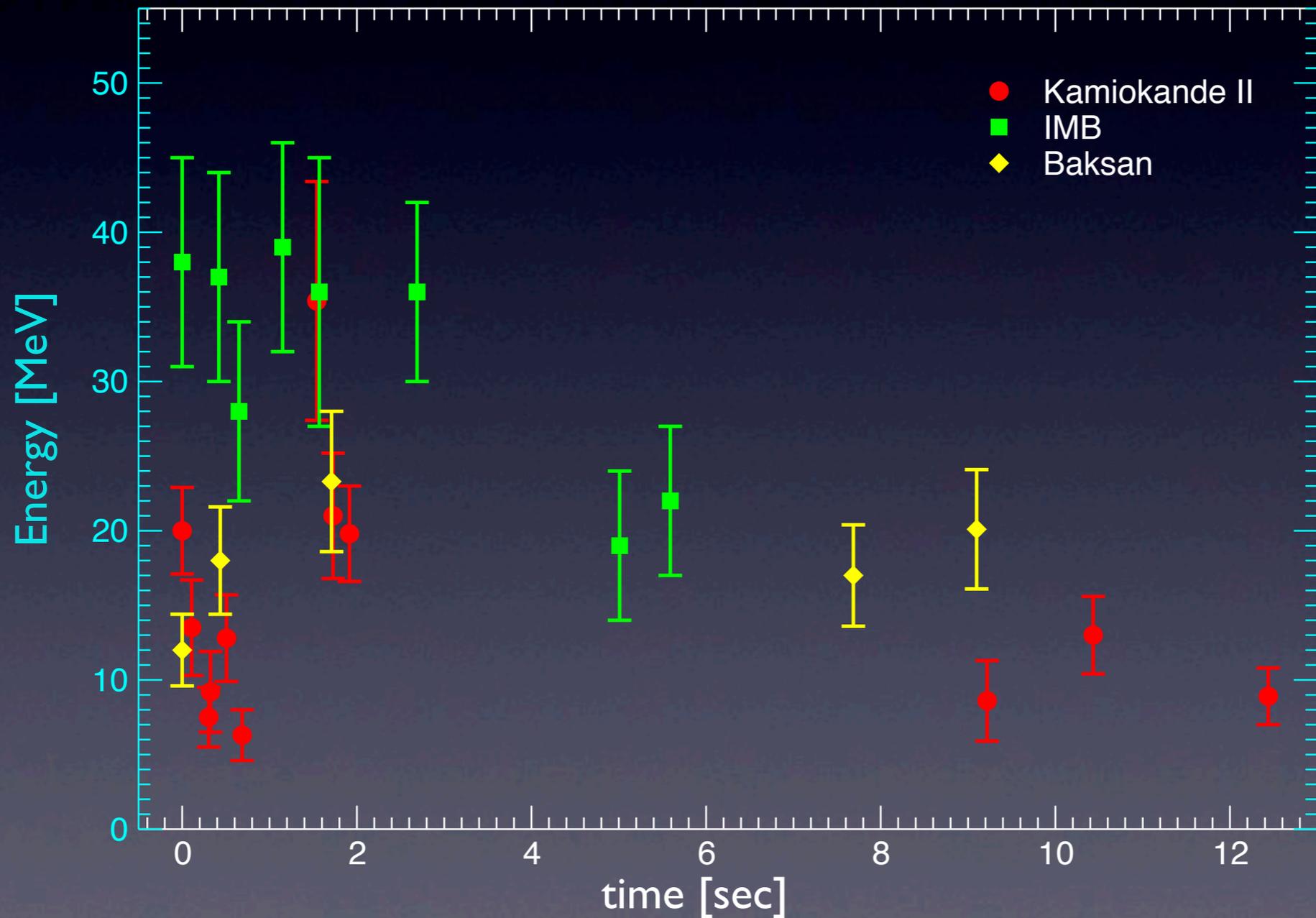


ISSAC 2014, La Jolla, July 2014



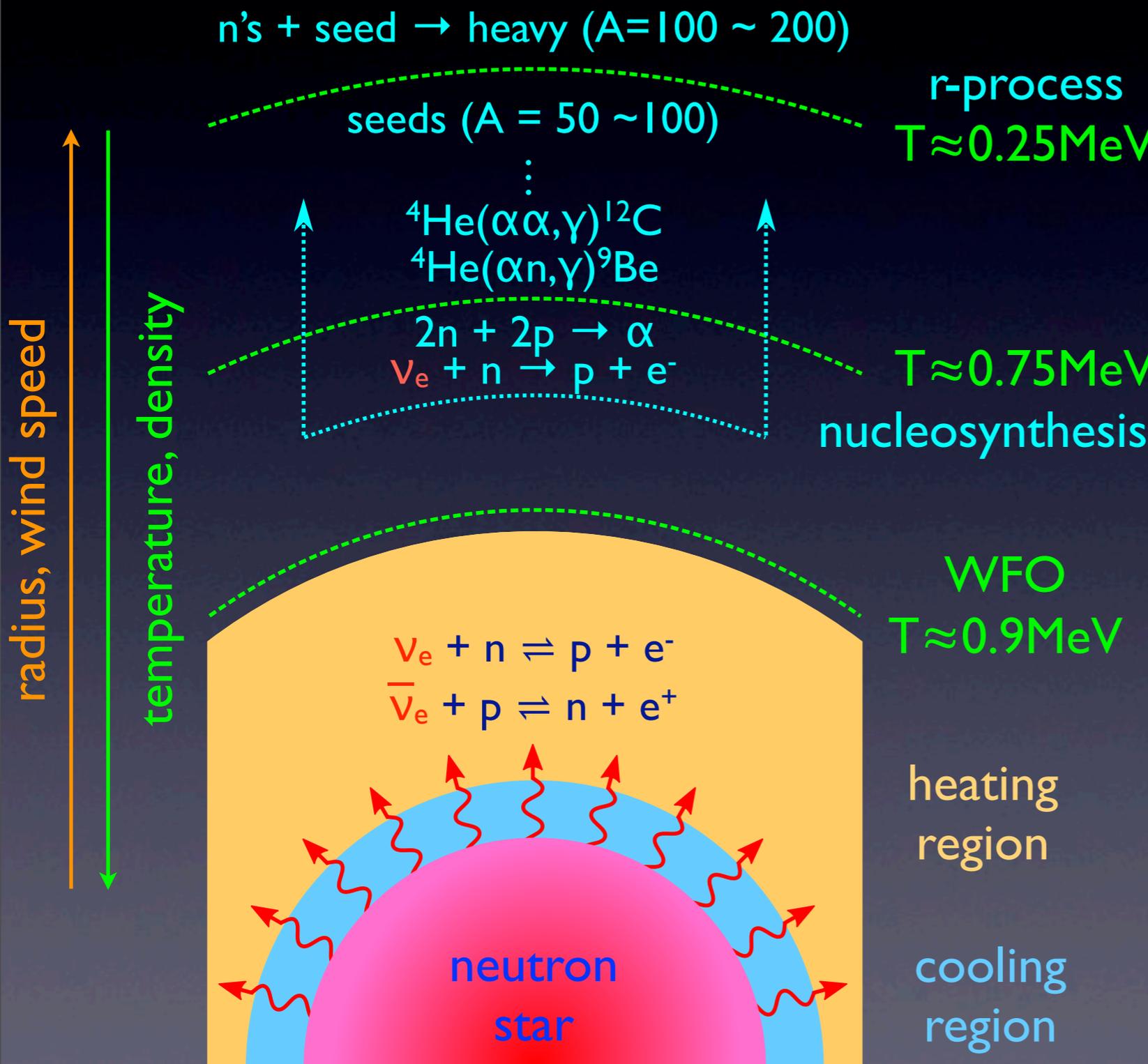
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# Neutrino Astronomy



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# Neutrinos in Supernovae



- $\sim 10^{53}$  ergs,  $10^{58}$  neutrinos in  $\sim 10$  seconds
- All neutrino species, 10~30 MeV
- Dominate energetics
- Influence nucleosynthesis
- Probe into SNe

# Neutrinos in SNe

→  $\nu_e$  and  $\bar{\nu}_e$  affect supernova dynamics and nucleosynthesis

→  $\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau} \rangle$

→ What if  $\nu_e \rightleftharpoons \nu_{\mu, \tau}$  and/or  $\bar{\nu}_e \rightleftharpoons \bar{\nu}_{\mu, \tau}$ ?

# Vacuum Oscillations

neutrinos are generated/detected in flavor states

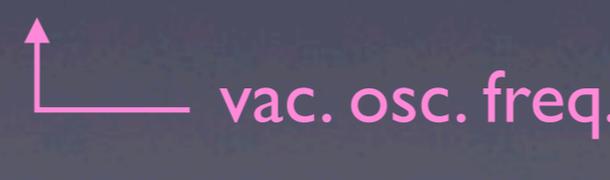
neutrino mass eigenstates  $\neq$  neutrino flavor states

$$|\nu_1\rangle = \cos \theta_\nu |\nu_e\rangle + \sin \theta_\nu |\nu_\mu\rangle \quad \text{with mass } m_1$$

$$|\nu_2\rangle = -\sin \theta_\nu |\nu_e\rangle + \cos \theta_\nu |\nu_\mu\rangle \quad \text{with mass } m_2$$

 vacuum mixing angle

$$i \frac{d}{dx} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix} = \frac{1}{2} \begin{bmatrix} -\omega \cos 2\theta_\nu & \omega \sin 2\theta_\nu \\ \omega \sin 2\theta_\nu & \omega \cos 2\theta_\nu \end{bmatrix} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix}$$

 vac. osc. freq.  $\omega = \frac{\delta m^2}{2E_\nu}$

$$\delta m^2 = m_2^2 - m_1^2$$

# Vacuum Oscillations

$$i \frac{d}{dx} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix} = \frac{1}{2} \begin{bmatrix} -\omega \cos 2\theta_\nu & \omega \sin 2\theta_\nu \\ \omega \sin 2\theta_\nu & \omega \cos 2\theta_\nu \end{bmatrix} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix}$$

↑ vac. osc. freq.  $\omega = \frac{\delta m^2}{2E_\nu}$

initially  $|\psi(x=0)\rangle = |\nu_e\rangle$

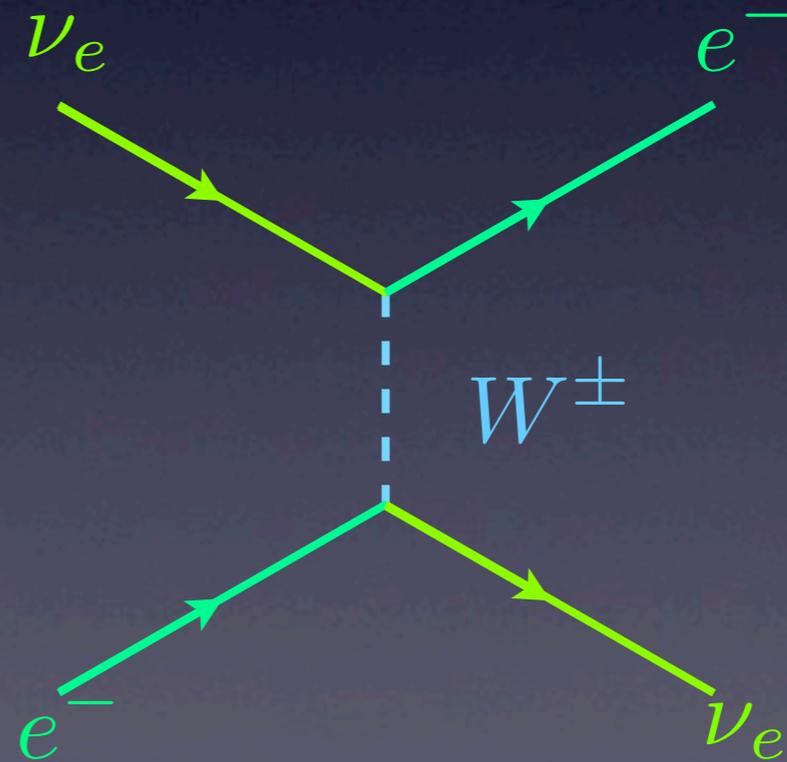
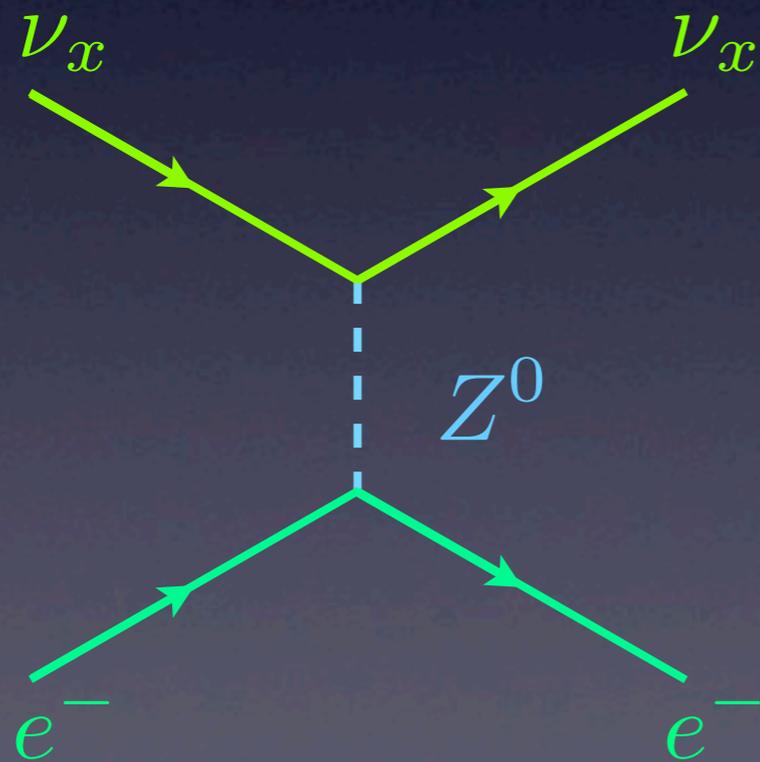
$$P_{\nu_e \nu_e}(x) \equiv |\langle \nu_e | \psi(x) \rangle|^2 = 1 - \sin^2 2\theta_\nu \sin^2 \left( \frac{\delta m^2 x}{4E_\nu} \right)$$

↑ neutrino survival probability

# MSW Effect

does not affect  
neutrino oscillations

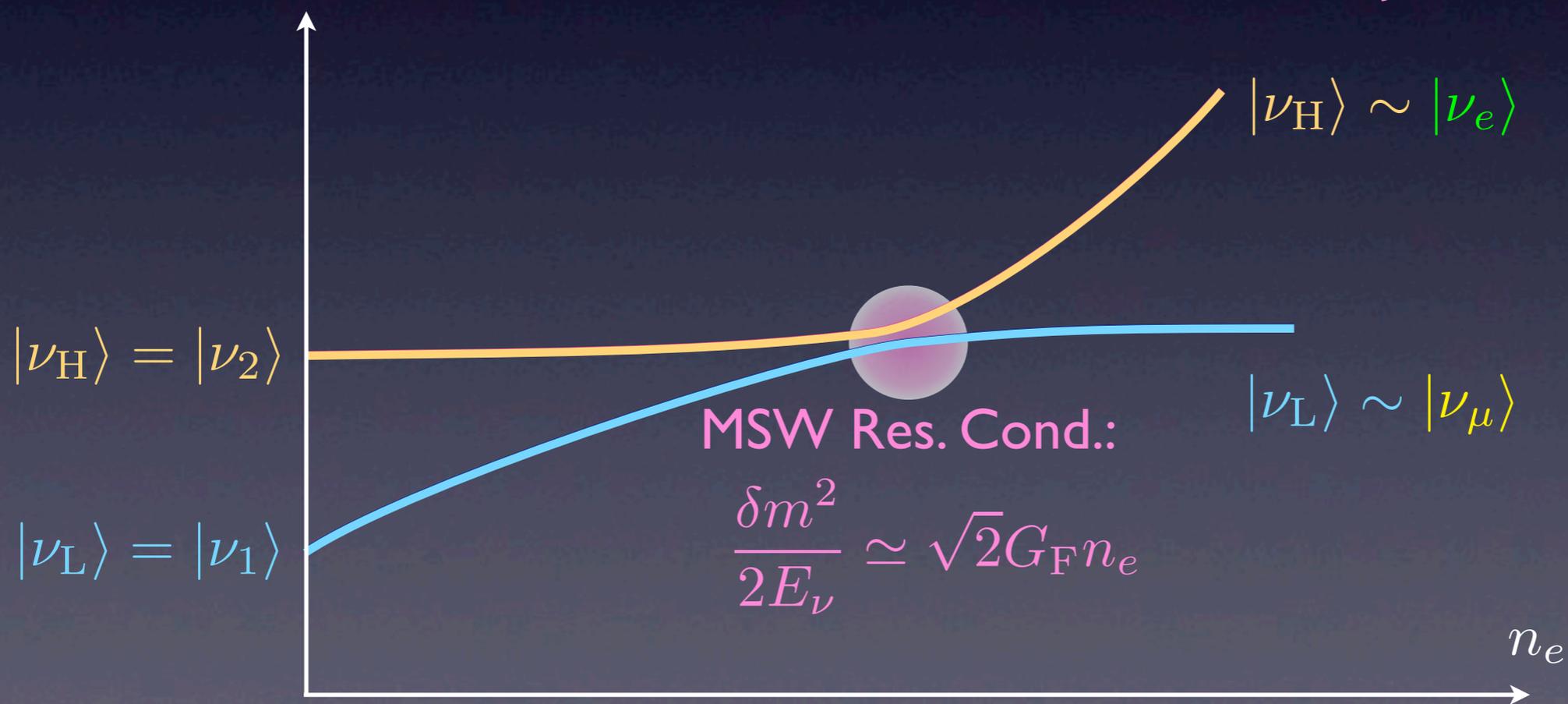
Wolfenstein (1978)



# MSW Effect

$$i \frac{d}{dx} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 2\sqrt{2}G_F n_e - \omega \cos 2\theta_\nu & \omega \sin 2\theta_\nu \\ \omega \sin 2\theta_\nu & \omega \cos 2\theta_\nu \end{bmatrix} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix}$$

↙ electron number density  $n_e$   
↖ vac. osc. freq.  $\omega = \frac{\delta m^2}{2E_\nu}$



Mikheyev, Smirnov (1985)

# Three Flavor Mixing

WEAK FLAVOR STATES

VACUUM MASS EIGENSTATES

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & c_{13}s_{12} & s_{13} \\ -c_{23}s_{12}e^{i\phi} - c_{12}s_{13}s_{23} & c_{12}c_{23}e^{i\phi} - s_{12}s_{13}s_{23} & c_{13}s_{23} \\ s_{23}s_{12}e^{i\phi} - c_{12}c_{23}s_{13} & -c_{12}s_{23}e^{i\phi} - c_{23}s_{12}s_{13} & c_{13}c_{23} \end{pmatrix}^* \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

$$\delta m_{12}^2 \simeq \delta m_{\odot}^2 \simeq 7-8 \times 10^{-5} \text{eV}^2, \quad \theta_{12} \simeq \theta_{\odot} \simeq 0.6$$

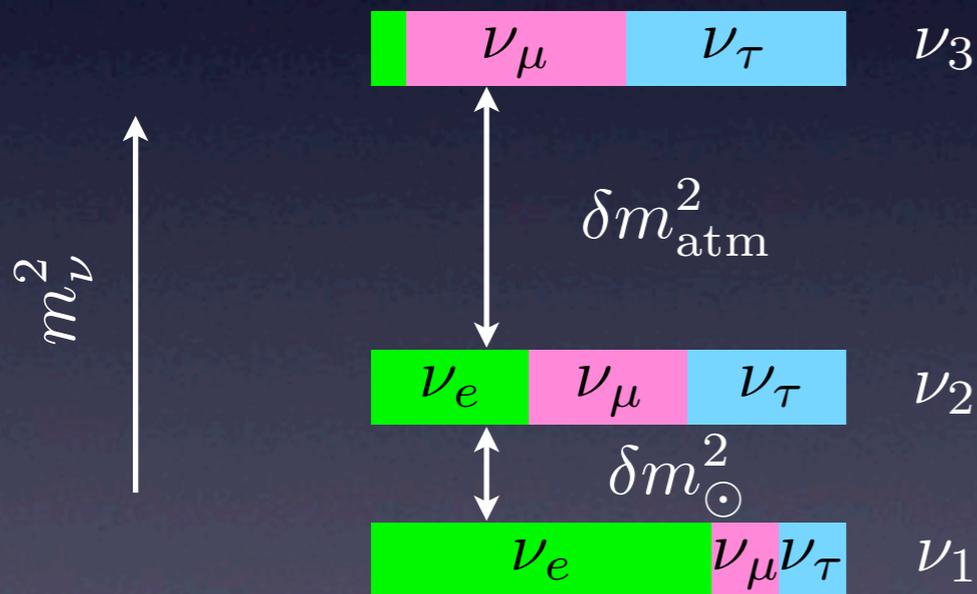
$$|\delta m_{23}^2| \simeq \delta m_{\text{atm}}^2 \simeq 2-3 \times 10^{-3} \text{eV}^2, \quad \theta_{23} \simeq \theta_{\text{atm}} \simeq \frac{\pi}{4}$$

$$|\delta m_{13}^2| \simeq |\delta m_{23}^2| \simeq 2-3 \times 10^{-3} \text{eV}^2, \quad \theta_{13} \simeq 0.15$$

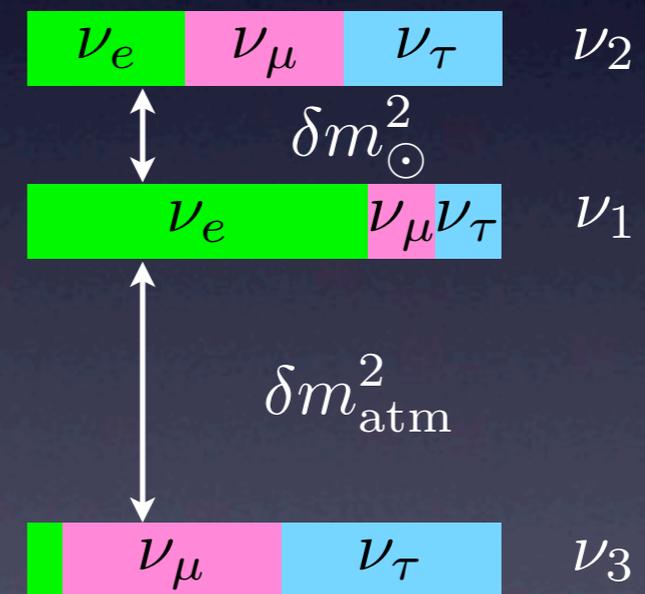
$\phi$  is unknown  $\longleftarrow$  CP VIOLATION PHASE

# Mass Hierarchy

normal mass hierarchy



inverted mass hierarchy



# Oscillations in SN

$$i \frac{d}{d\lambda} |\psi_{\nu, \mathbf{p}}\rangle = \hat{H} |\psi_{\nu, \mathbf{p}}\rangle$$

mass matrix

electron density

neutrino energy

$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$

$H_{\nu\nu}$

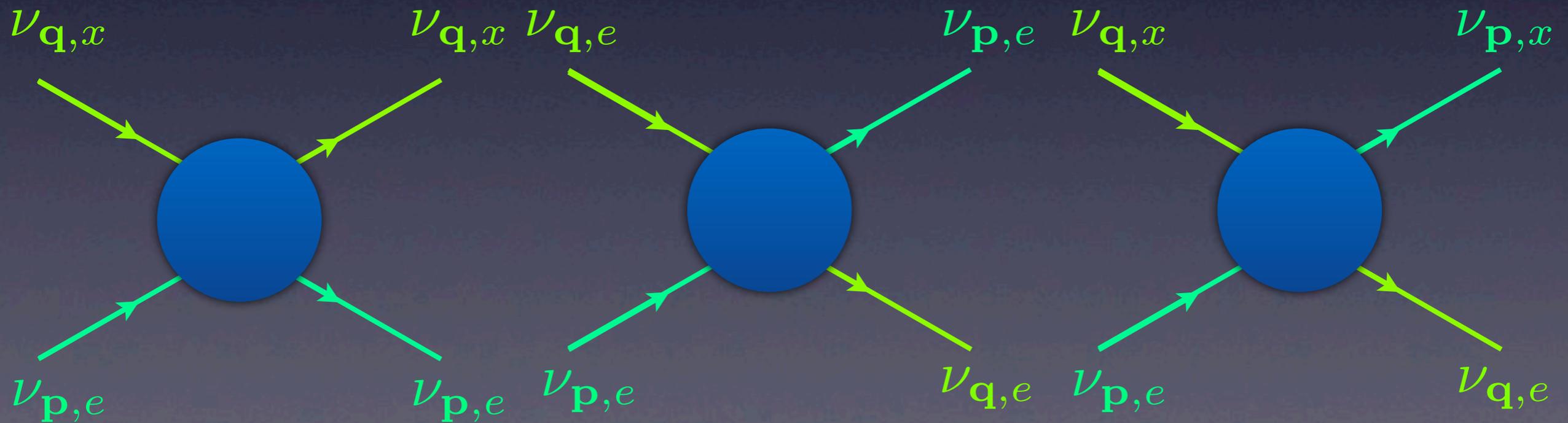
$\nu$ - $\nu$  forward scattering  
(self-coupling)

# Neutrino Self-Coupling

No oscillation effect

Fuller et al (1987)

Pantaleone (1992)



# Density Matrix

Pure State:

$$|\psi\rangle \implies \rho = \begin{bmatrix} \langle \nu_e | \psi \rangle \langle \psi | \nu_e \rangle & \langle \nu_e | \psi \rangle \langle \psi | \nu_x \rangle \\ \langle \nu_x | \psi \rangle \langle \psi | \nu_e \rangle & \langle \nu_x | \psi \rangle \langle \psi | \nu_x \rangle \end{bmatrix}$$

Example:  $|\nu_e\rangle \implies \rho = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$

Mixed State:

$$\rho \propto \begin{bmatrix} n_{\nu_e} & 0 \\ 0 & n_{\nu_x} \end{bmatrix}$$

# Neutrino Self-Coupling

$$i \frac{d}{d\lambda} |\psi_{\nu, \mathbf{p}}\rangle = \hat{H} |\psi_{\nu, \mathbf{p}}\rangle$$

mass matrix  $\longrightarrow$

electron density  $\downarrow$

neutrino energy  $\longleftarrow$

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$$

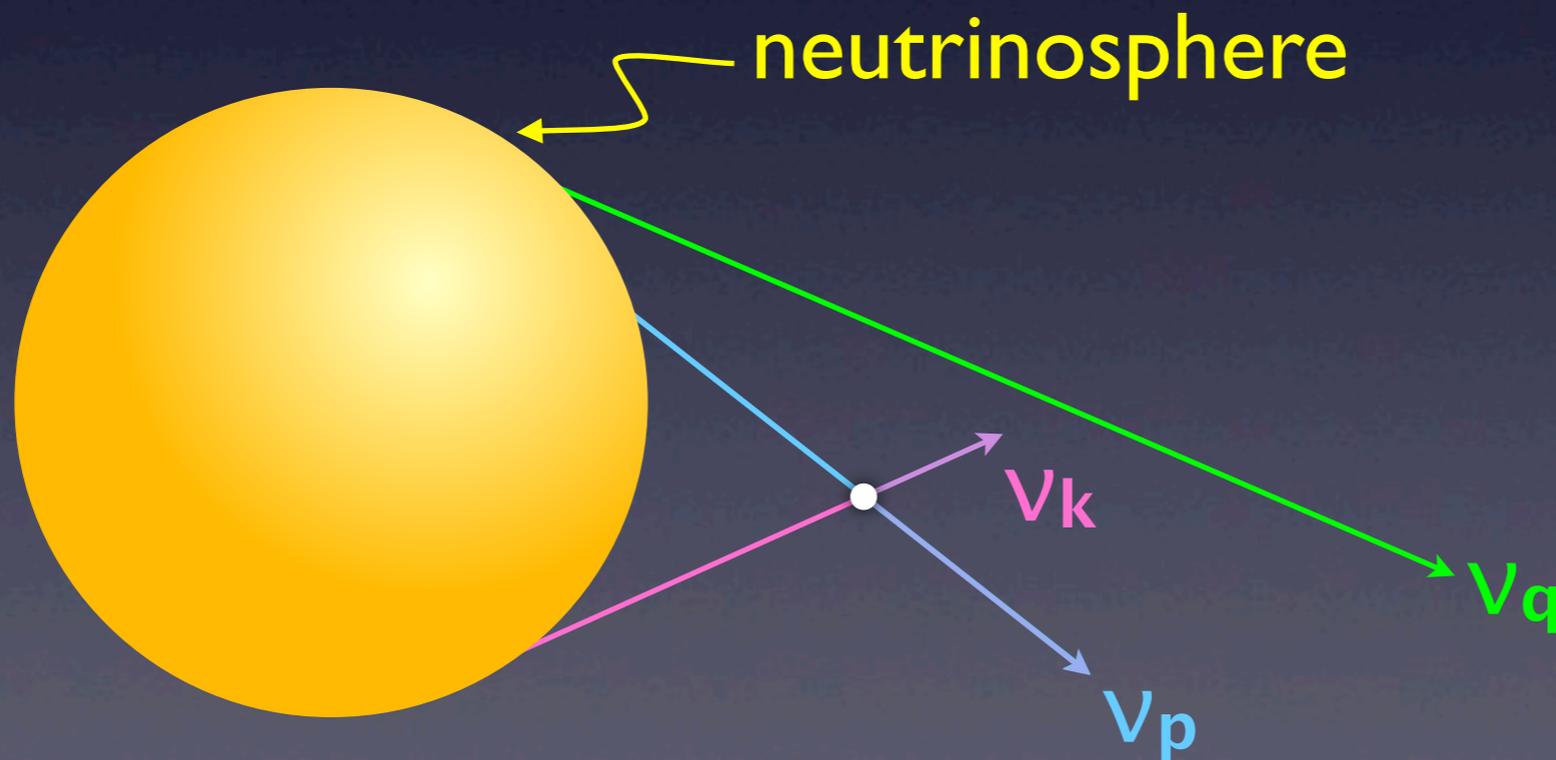
$\uparrow$   
 $\nu$ - $\nu$  forward scattering  
 (self-coupling)

$$H_{\nu\nu} = \sqrt{2}G_F \int d\mathbf{p}' (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{p}}') (\rho_{\mathbf{p}'} - \bar{\rho}_{\mathbf{p}'}^*)$$

# $\nu$ oscillations in SN

$$i \frac{d}{d\lambda} |\psi_{\nu, \mathbf{p}}\rangle = \hat{H} |\psi_{\nu, \mathbf{p}}\rangle$$

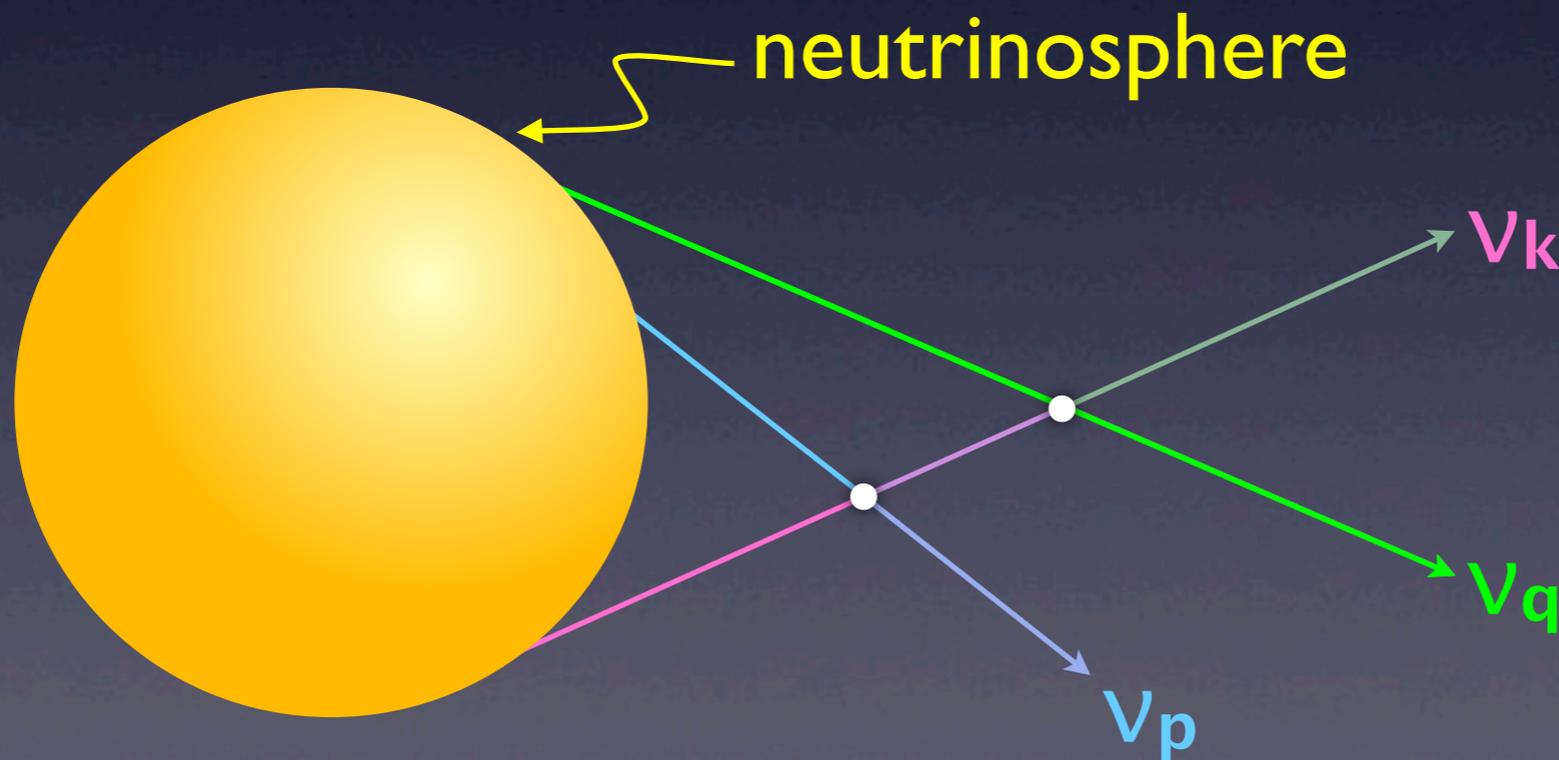
$$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$$



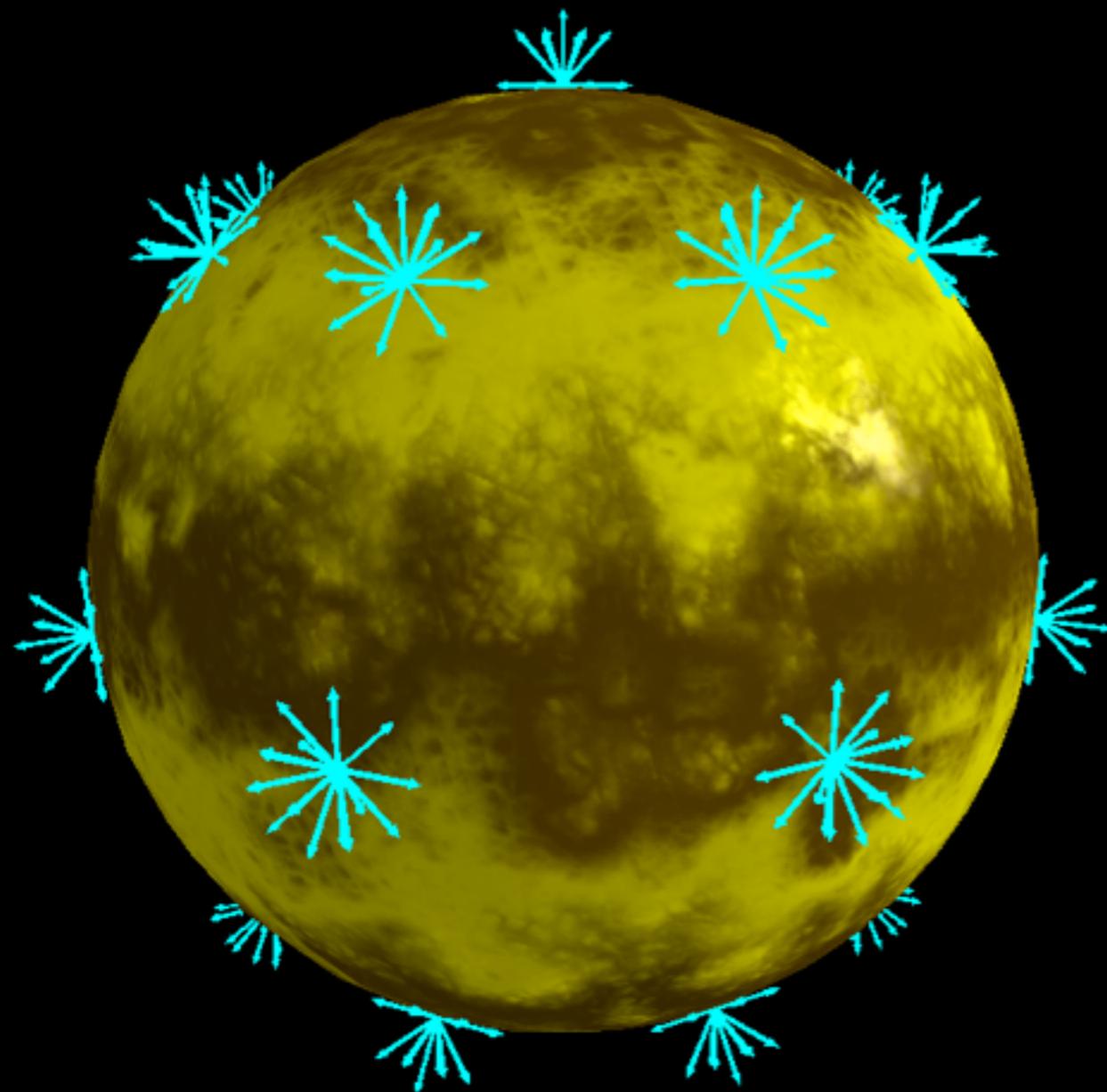
# $\nu$ oscillations in SN

$$i \frac{d}{d\lambda} |\psi_{\nu, \mathbf{p}}\rangle = \hat{H} |\psi_{\nu, \mathbf{p}}\rangle$$

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$$



# (3+3)D



emission  
direction

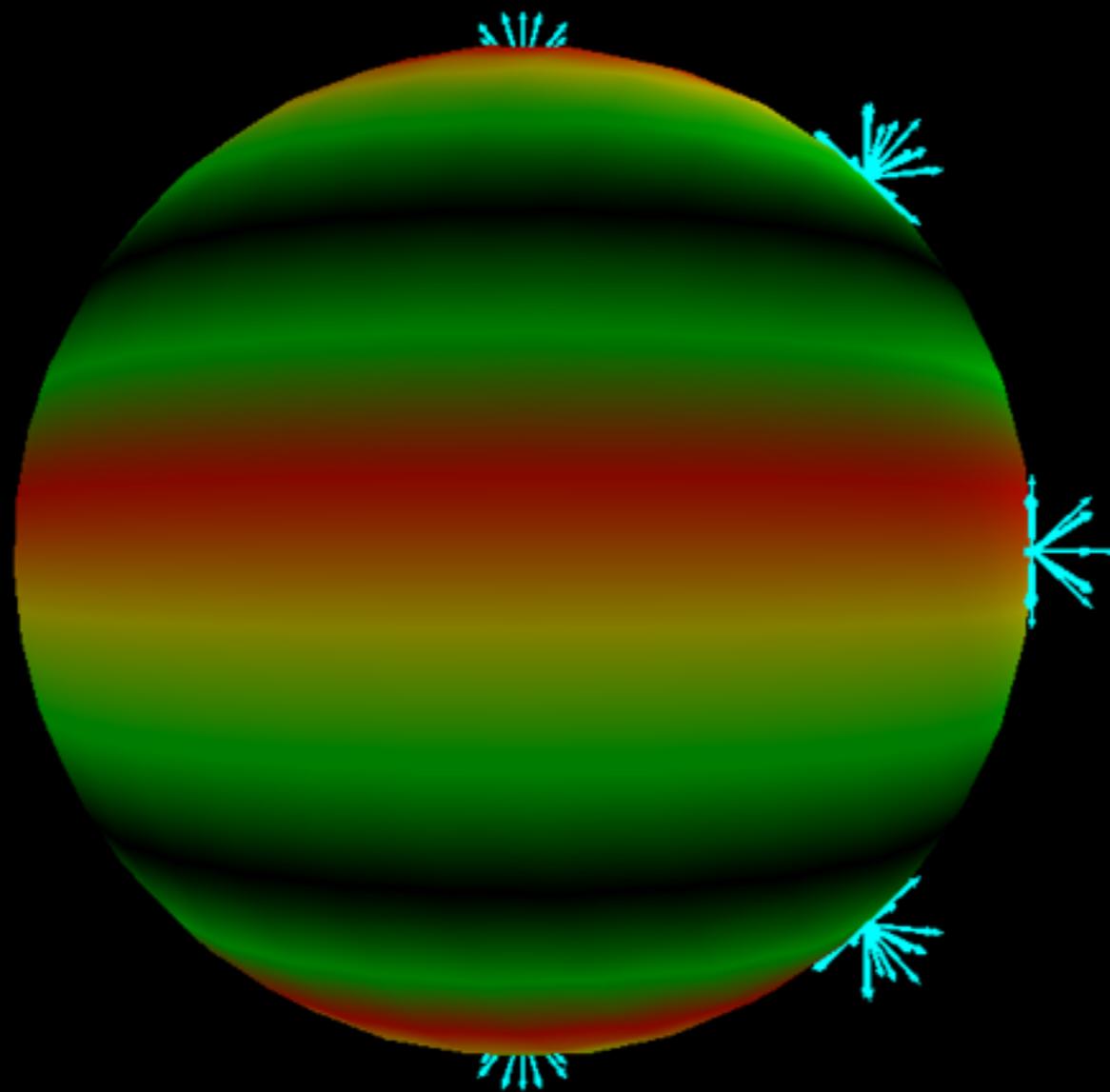
$$\psi(r, E, \vartheta, \varphi, \Theta, \Phi)$$

energy

emission  
points

Coherent forward  
scattering only outside  
neutrino sphere.

# (2+3)D



propagation  
direction

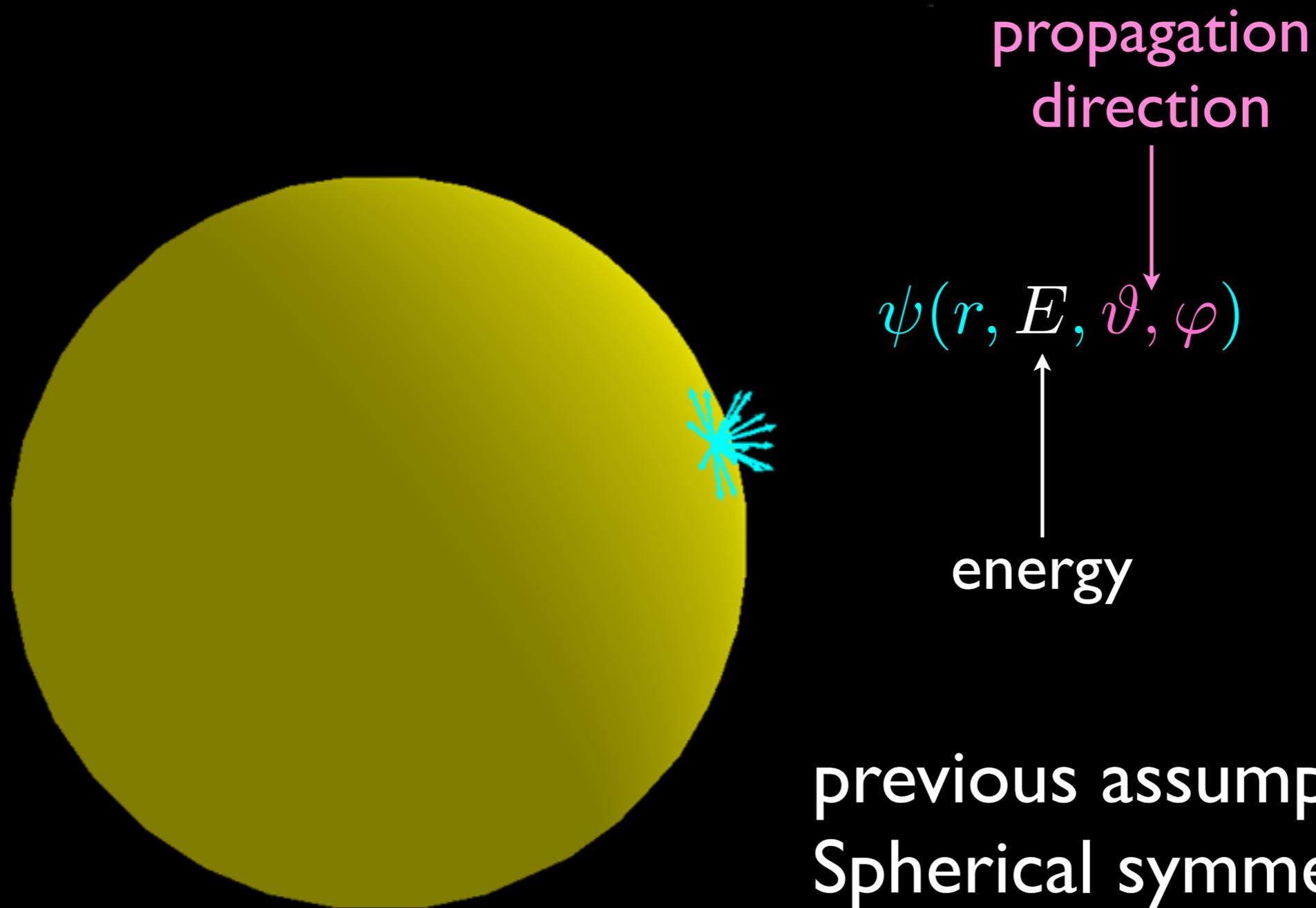
$$\psi(r, E, \vartheta, \varphi, \Theta)$$

energy

emission  
points

previous assumptions +  
Axial symmetry around the  
Z axis.

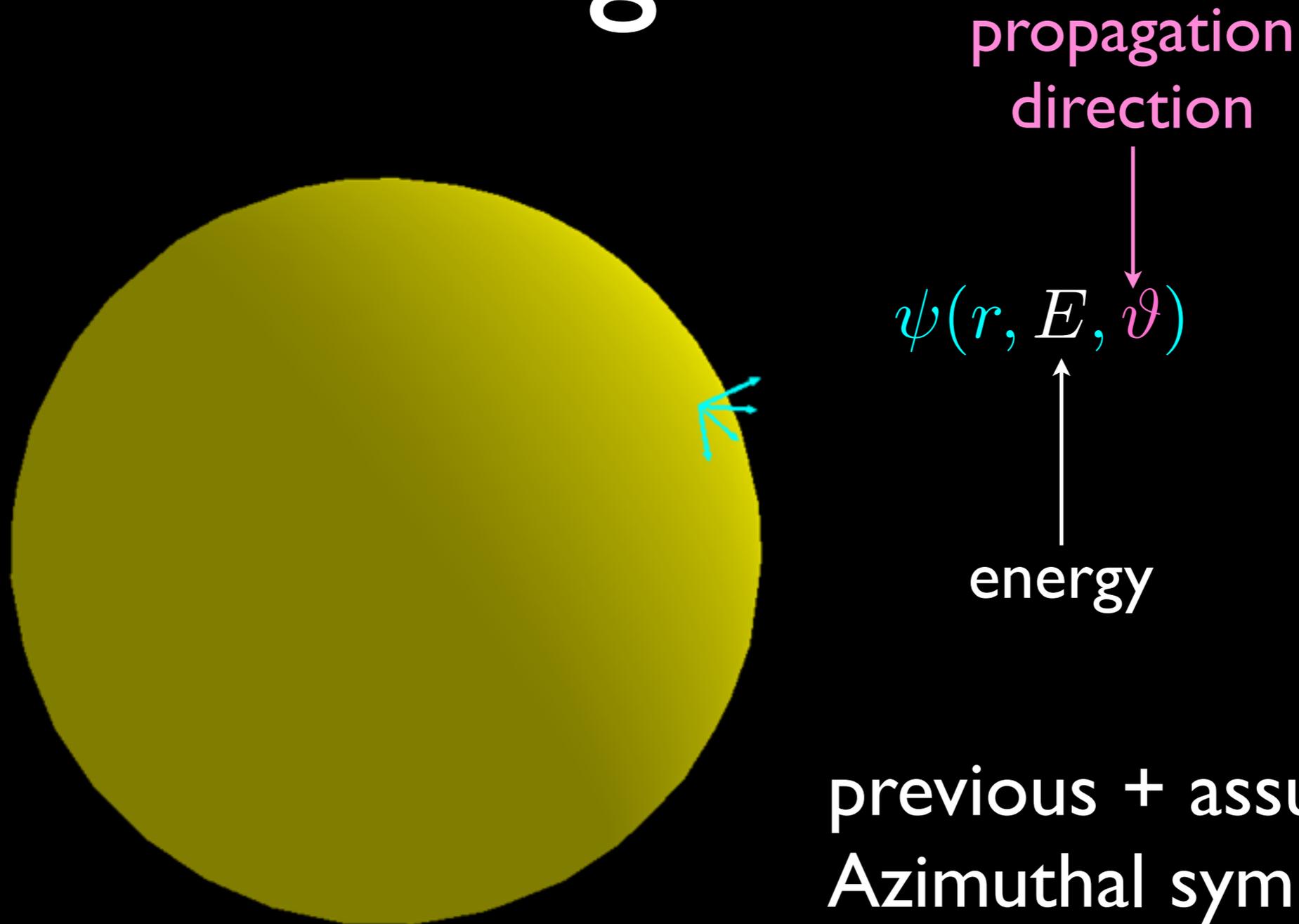
# (1+3)D



previous assumptions +  
Spherical symmetry about  
the center (Consistency?)

# (1+2)D

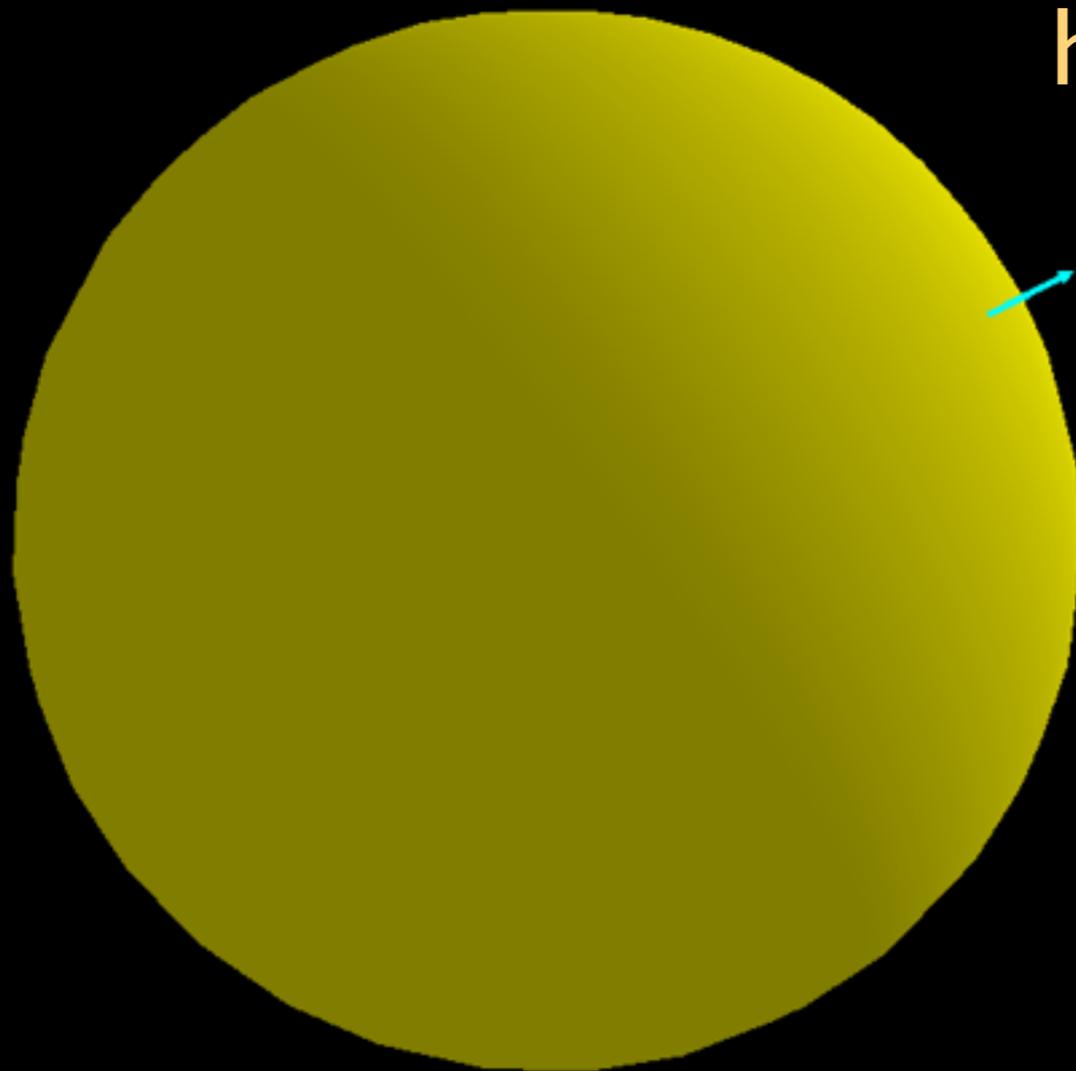
## Multi-Angle/Bulb Model



previous + assumptions +  
Azimuthal symmetry around  
any radial direction

# (I+I)D Single-Angle

Equivalent to an expanding  
homogeneous neutrino gas



$$\psi(r, E)$$

energy

previous assumptions +  
Trajectory independent  
neutrino flavor evolution

# Semi-Analytic Treatment

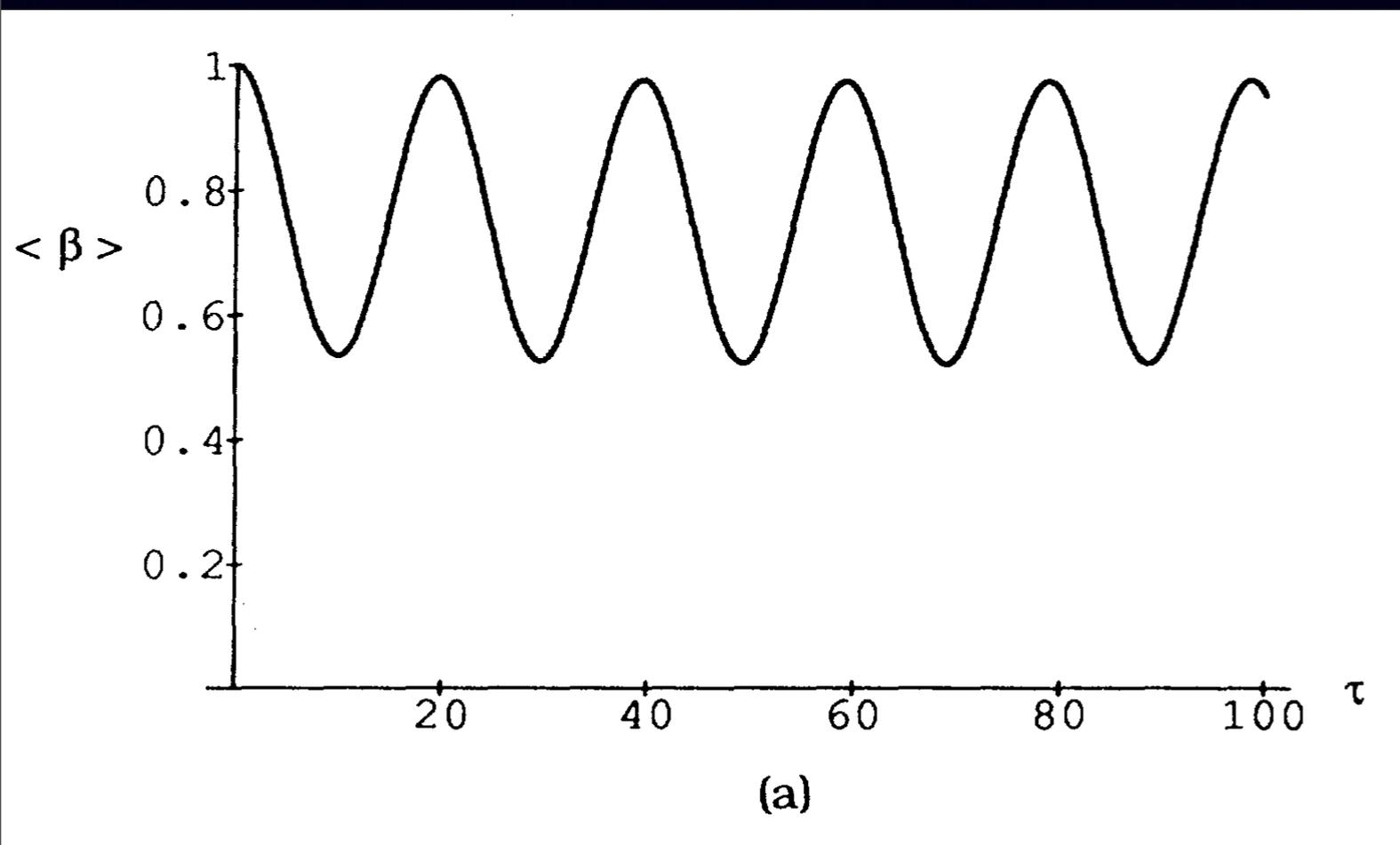
$$H_{\nu\nu} = \sqrt{2}G_F \int d\mathbf{p}' (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{p}}') (\rho_{\mathbf{p}'} - \bar{\rho}_{\mathbf{p}'}^*)$$

Qian & Fuller (1995)

- Single-angle approximation.
- Assume that the off-diagonal elements of  $\rho$  are 0.
  - They are 0 in the adiabatic MSW flavor evolution.
  - They average to 0 in the non-adiabatic case.

# Numerical Treatment

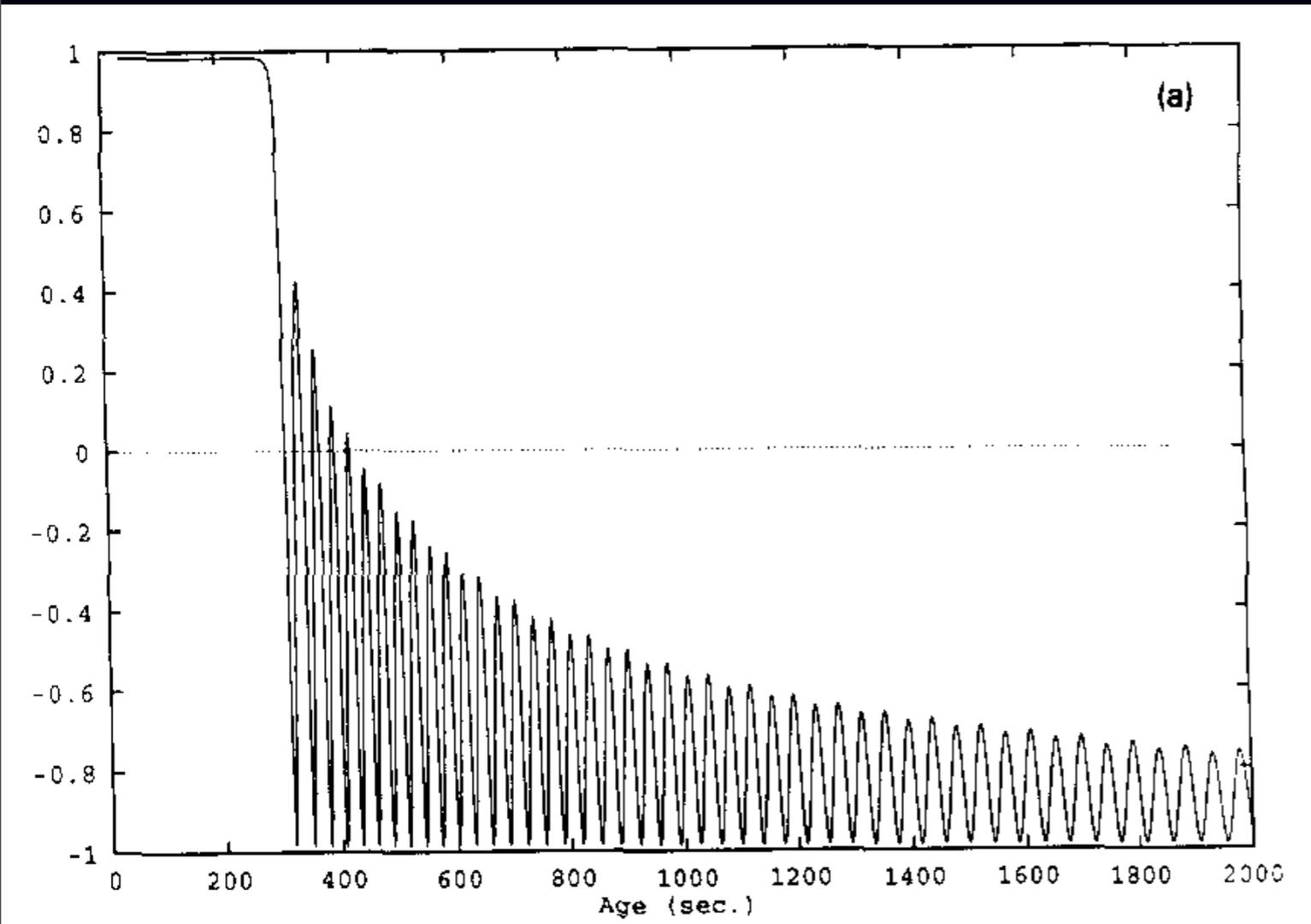
Samuel (1993)



- Homogeneous & isotropic neutrino gas.
- Small electron-neutrino excess.
- Self-maintained coherence.

# Numerical Treatment

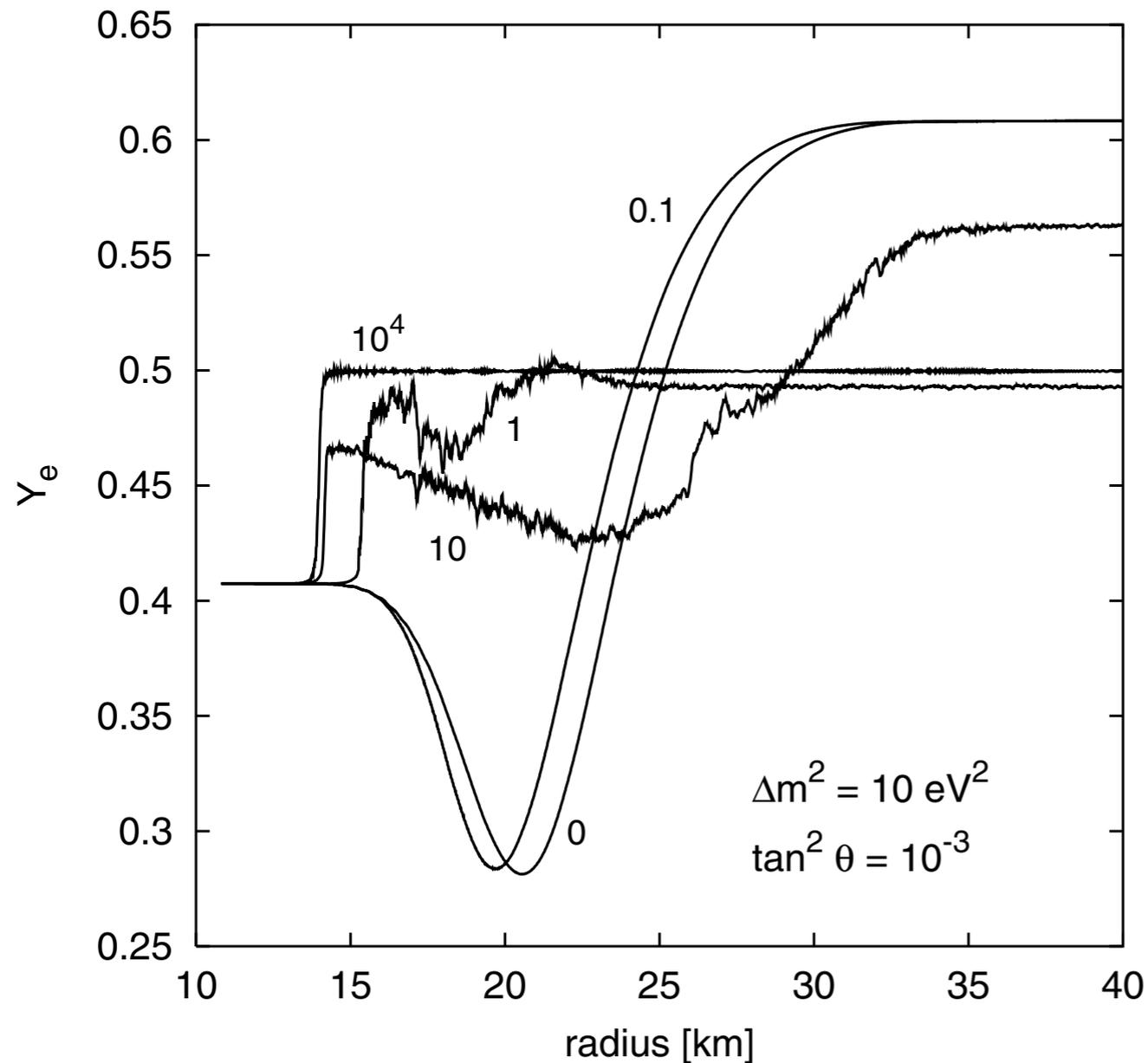
Kostelecky & Samuel (1993)



- Homogeneous & isotropic neutrino gas.
- Small electron-neutrino excess.
- Self-maintained coherence.

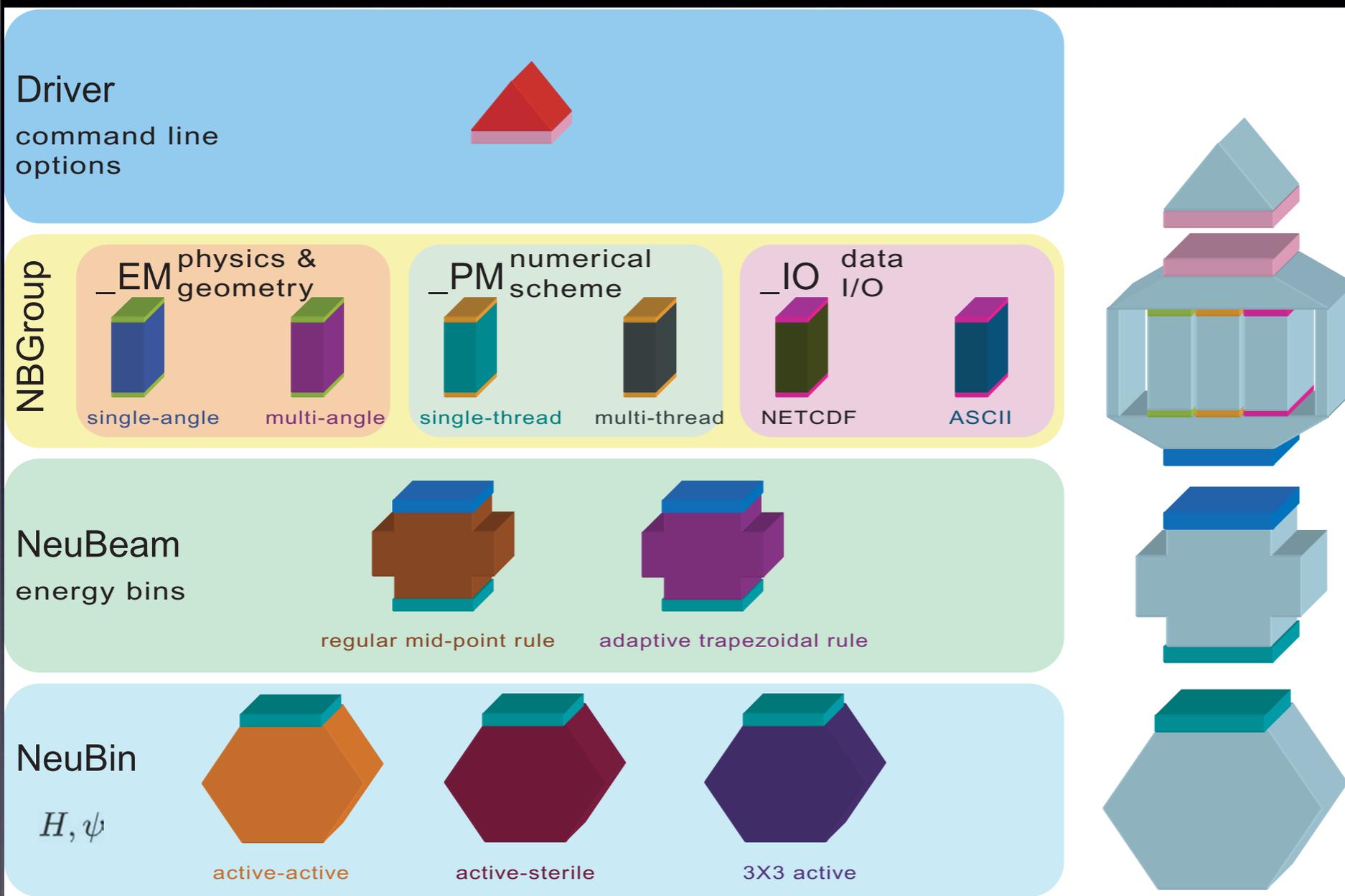
# Numerical Treatment

Pastor & Raffelt (2002)



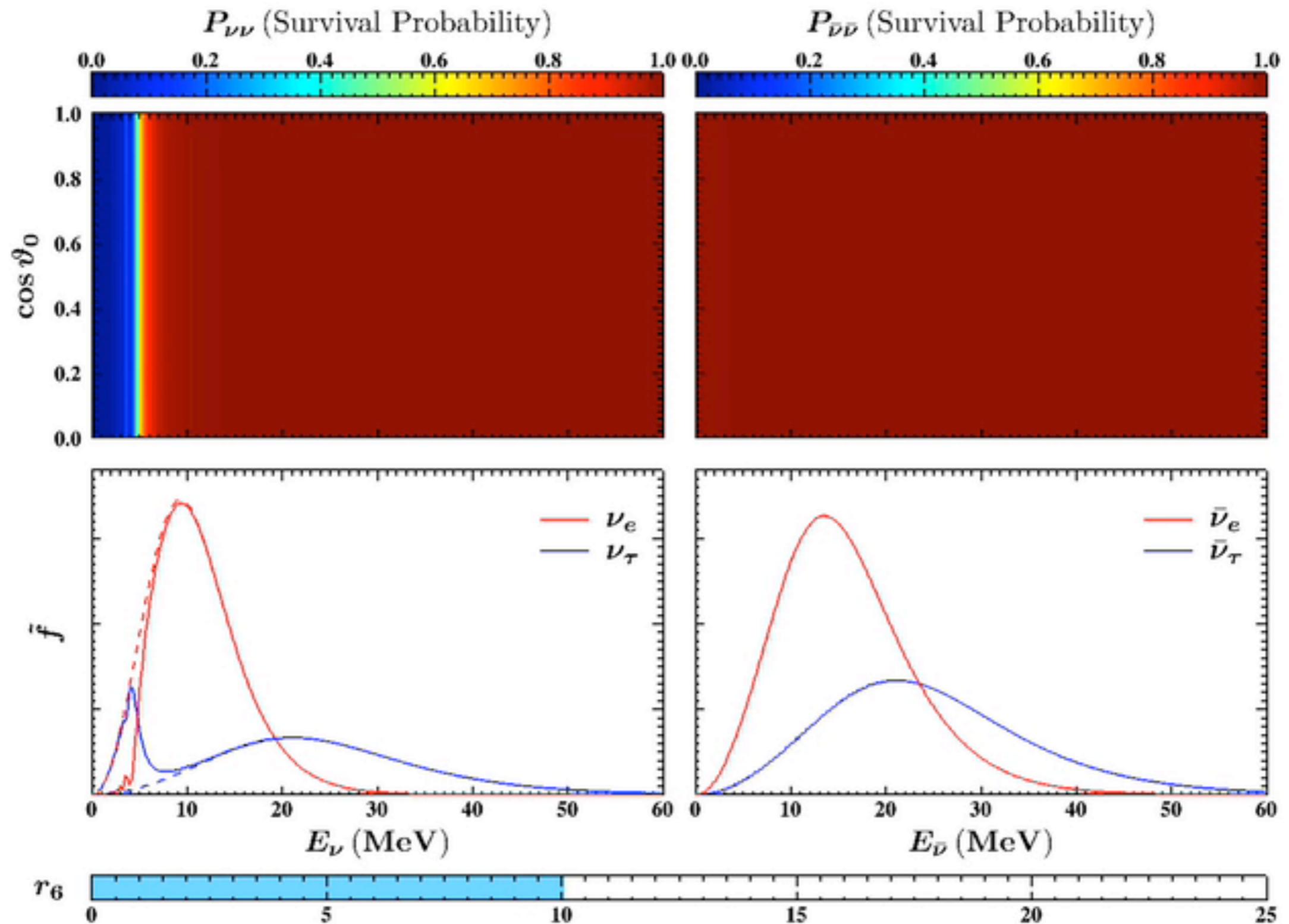
- Single-angle approximation
- Large mass-squared difference
- Synchronized neutrino oscillations

# FLAT

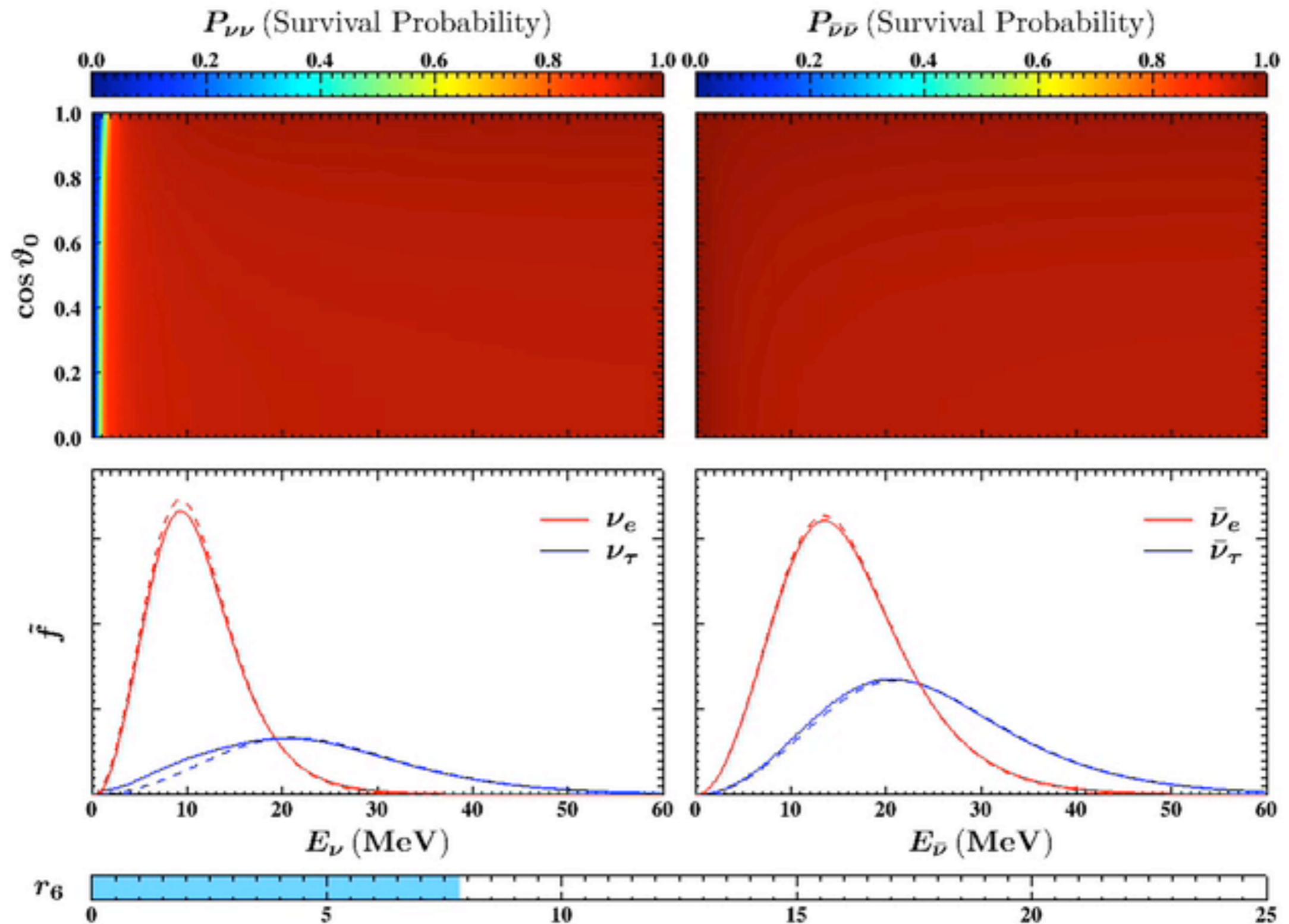


- Highly modularized program
- Multi-purpose
  - single-angle vs. multi-angle
  - 2 flavors vs. 3 flavors

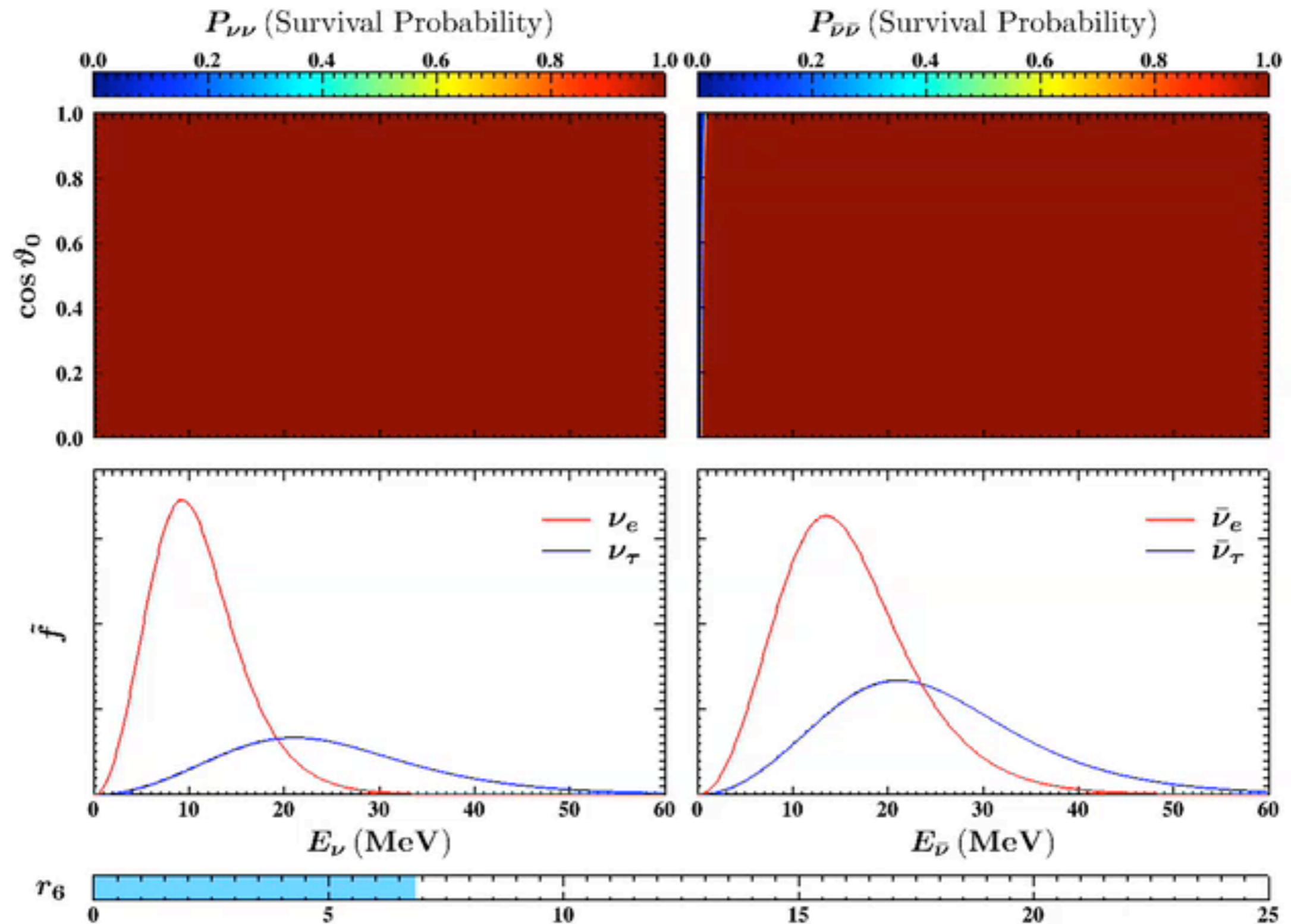
$$\delta m^2 = 3 \times 10^{-3} \text{ eV}^2 \simeq \delta m_{\text{atm}}^2, \theta_{\nu} = 0.1, L_{\nu} = 0$$

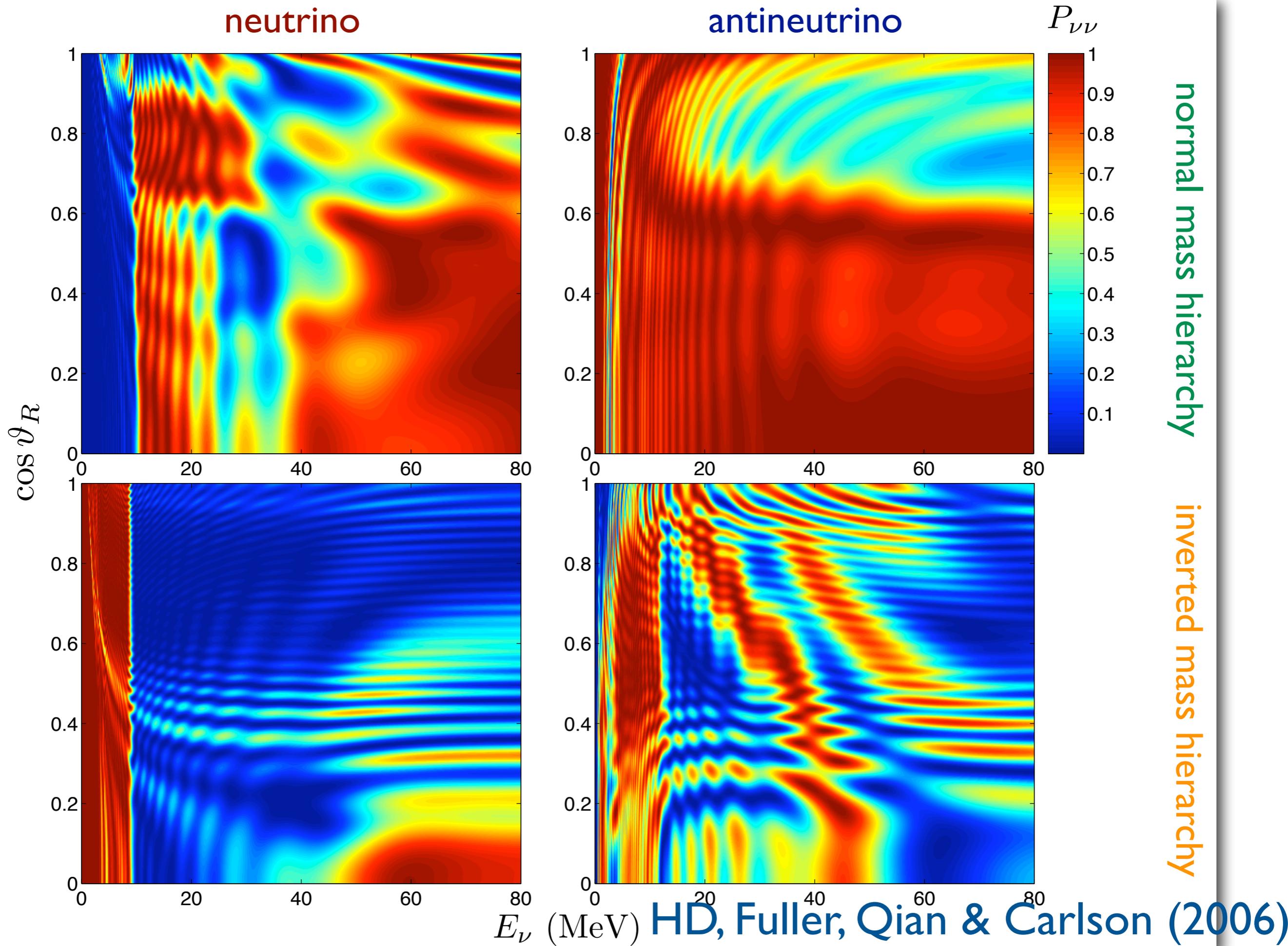


$$\delta m^2 = 3 \times 10^{-3} \text{ eV}^2 \simeq \delta m_{\text{atm}}^2, \theta_{\nu} = 0.1, L_{\nu} = 10^{51} \text{ erg/s}$$



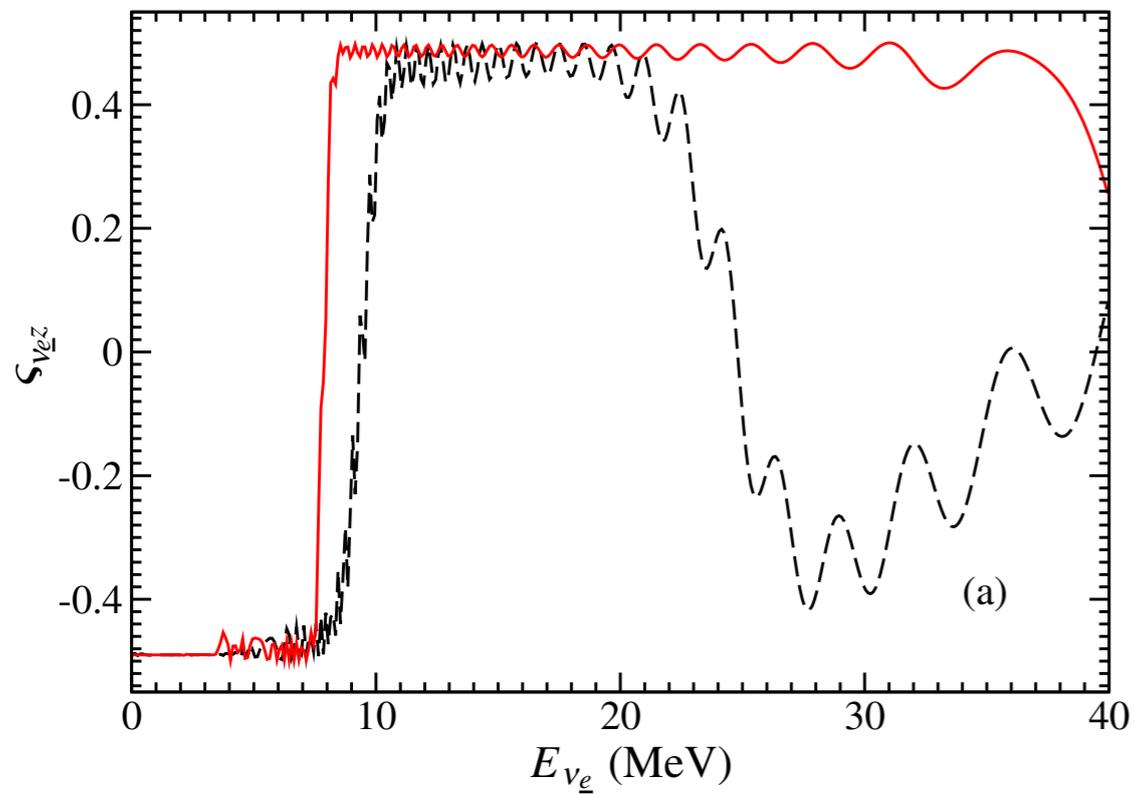
$$\delta m^2 = -3 \times 10^{-3} \text{ eV}^2 \simeq \delta m_{\text{atm}}^2, \theta_\nu = 0.1, L_\nu = 10^{51} \text{ erg/s}$$



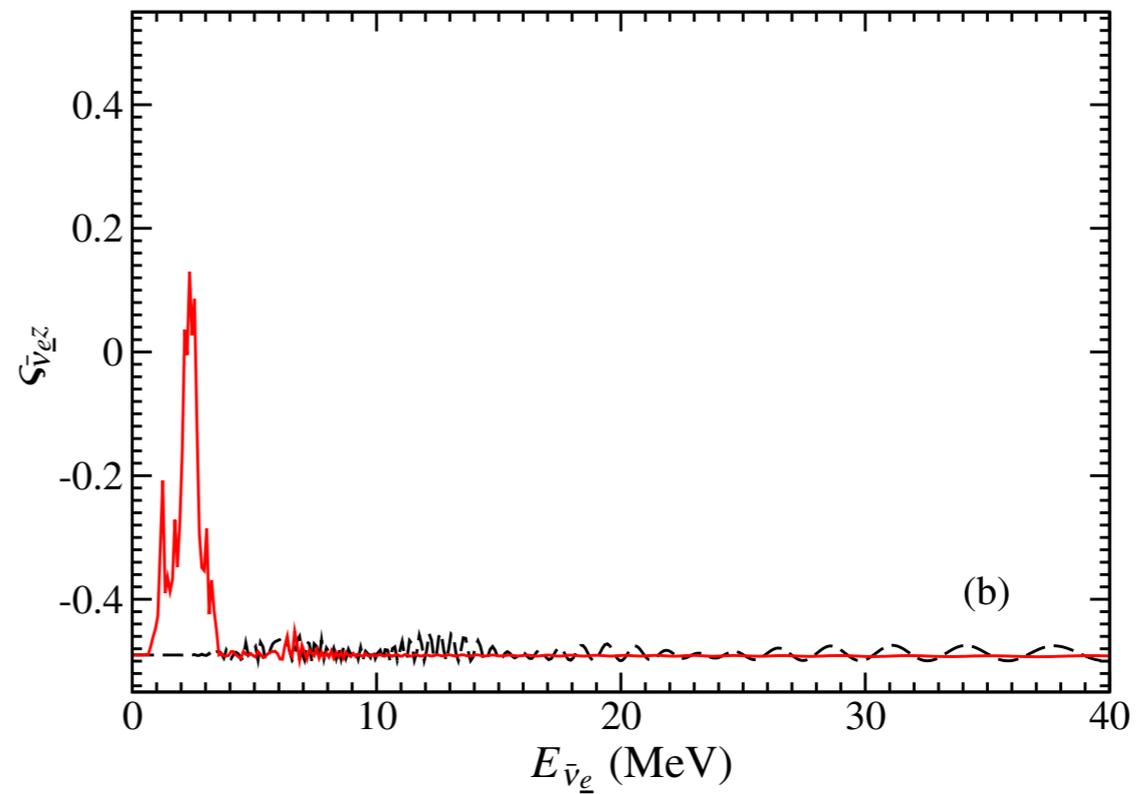


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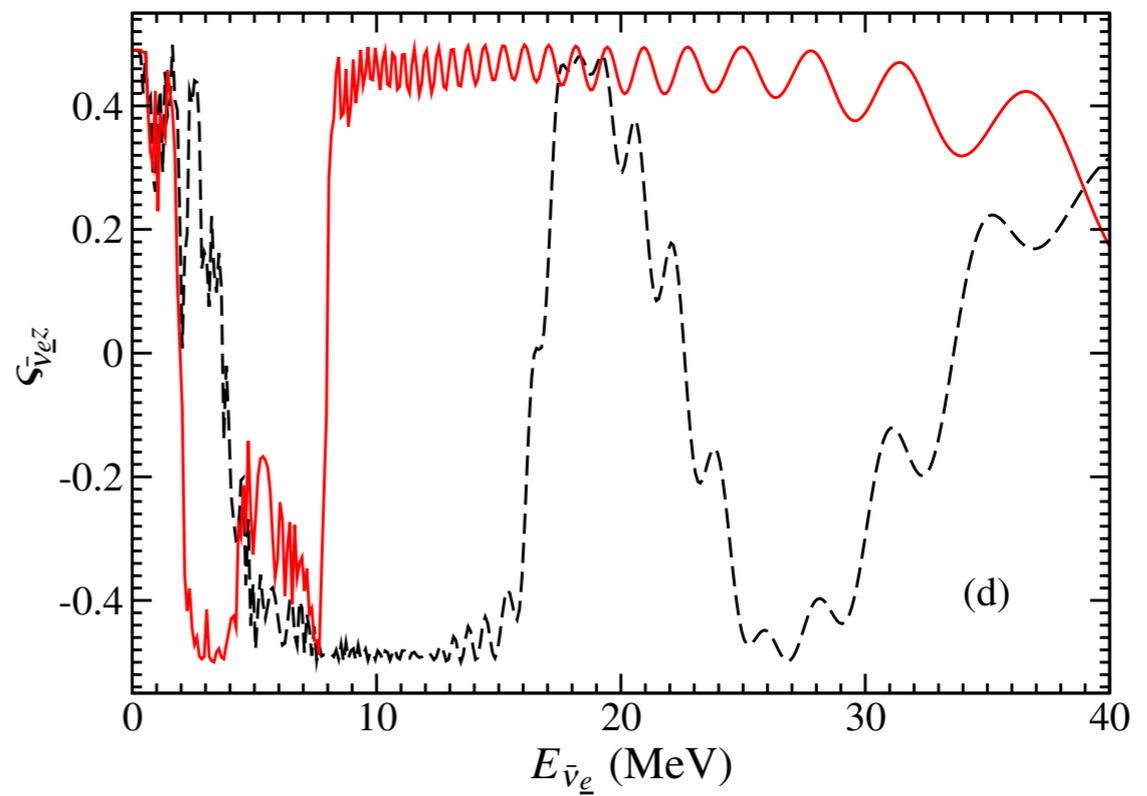
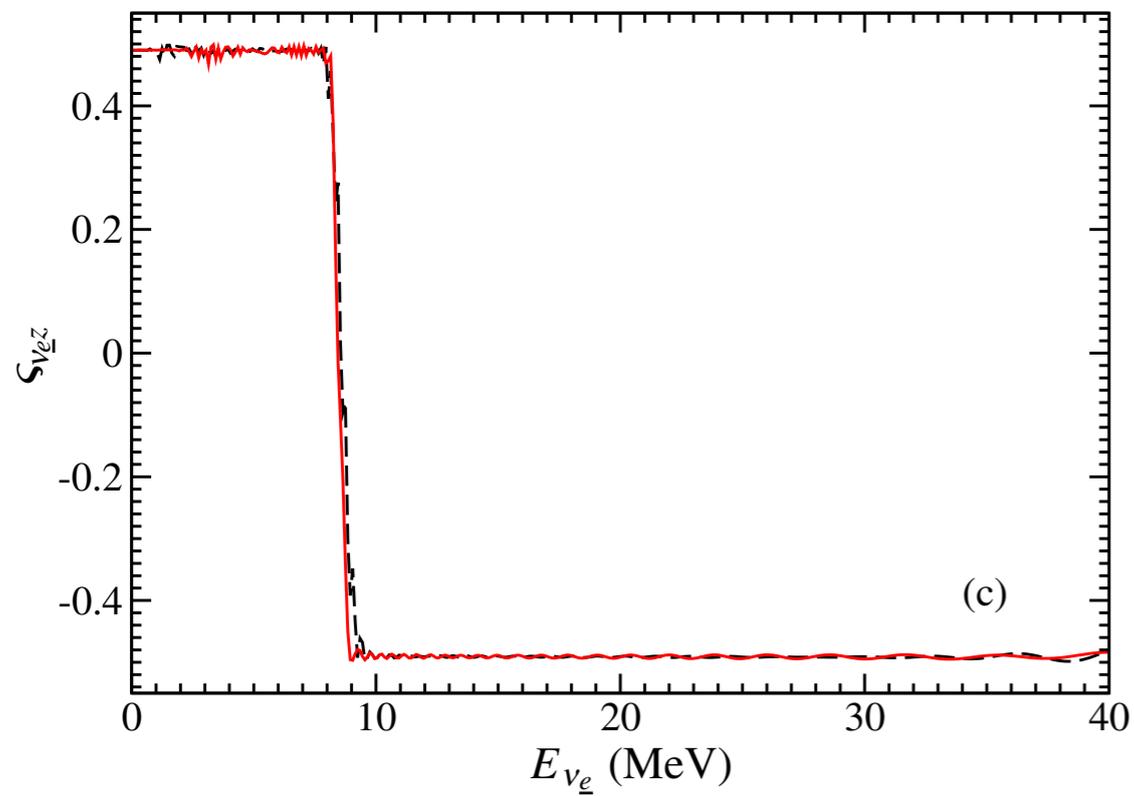
neutrino



antineutrino



normal mass hierarchy



inverted mass hierarchy

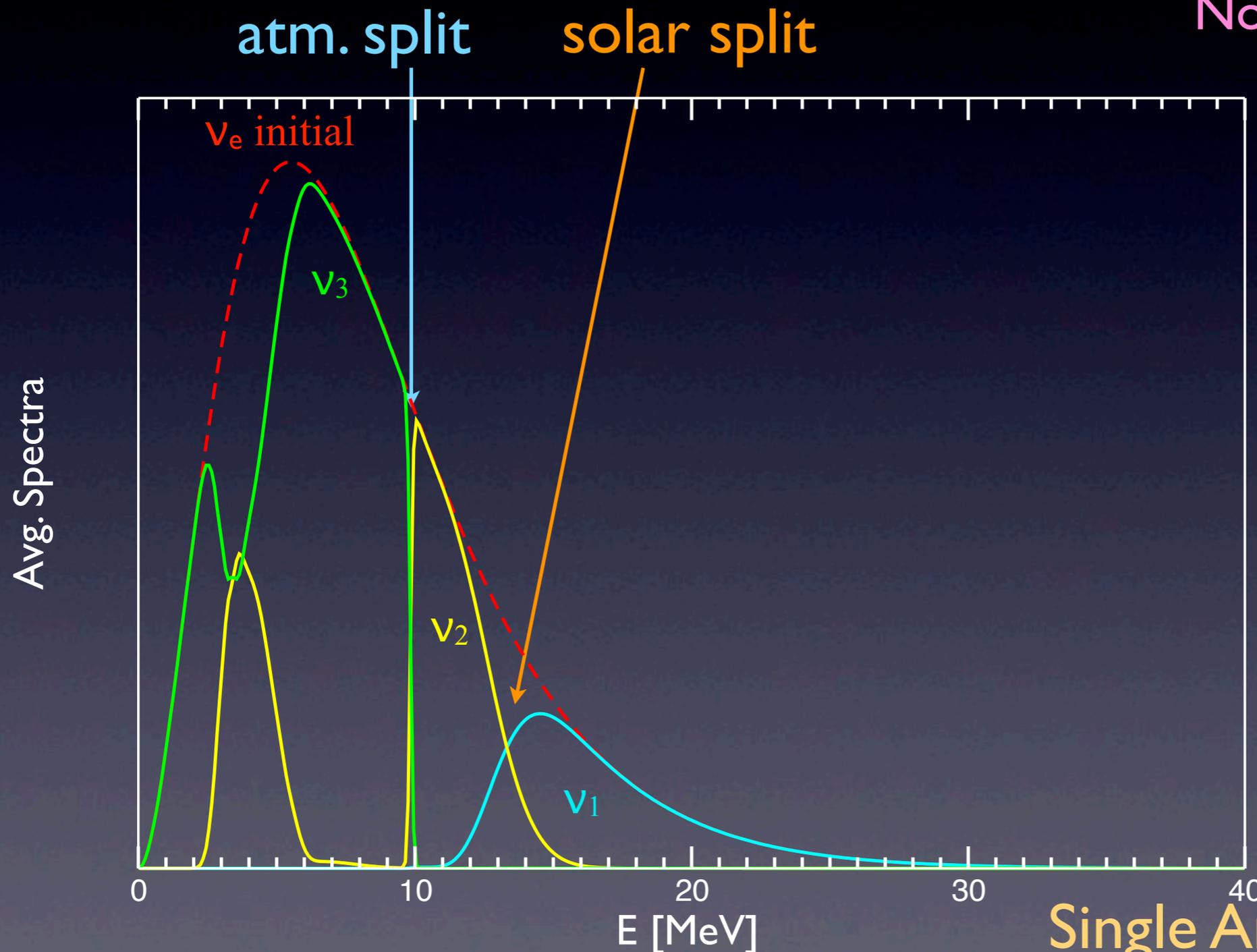
HD, Fuller, Qian & Carlson (2006)

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# 3 Flavor Mixing

Normal Mass Hierarchy

O-Ne-Mg Core-Collapse  
Neutronization Pulse



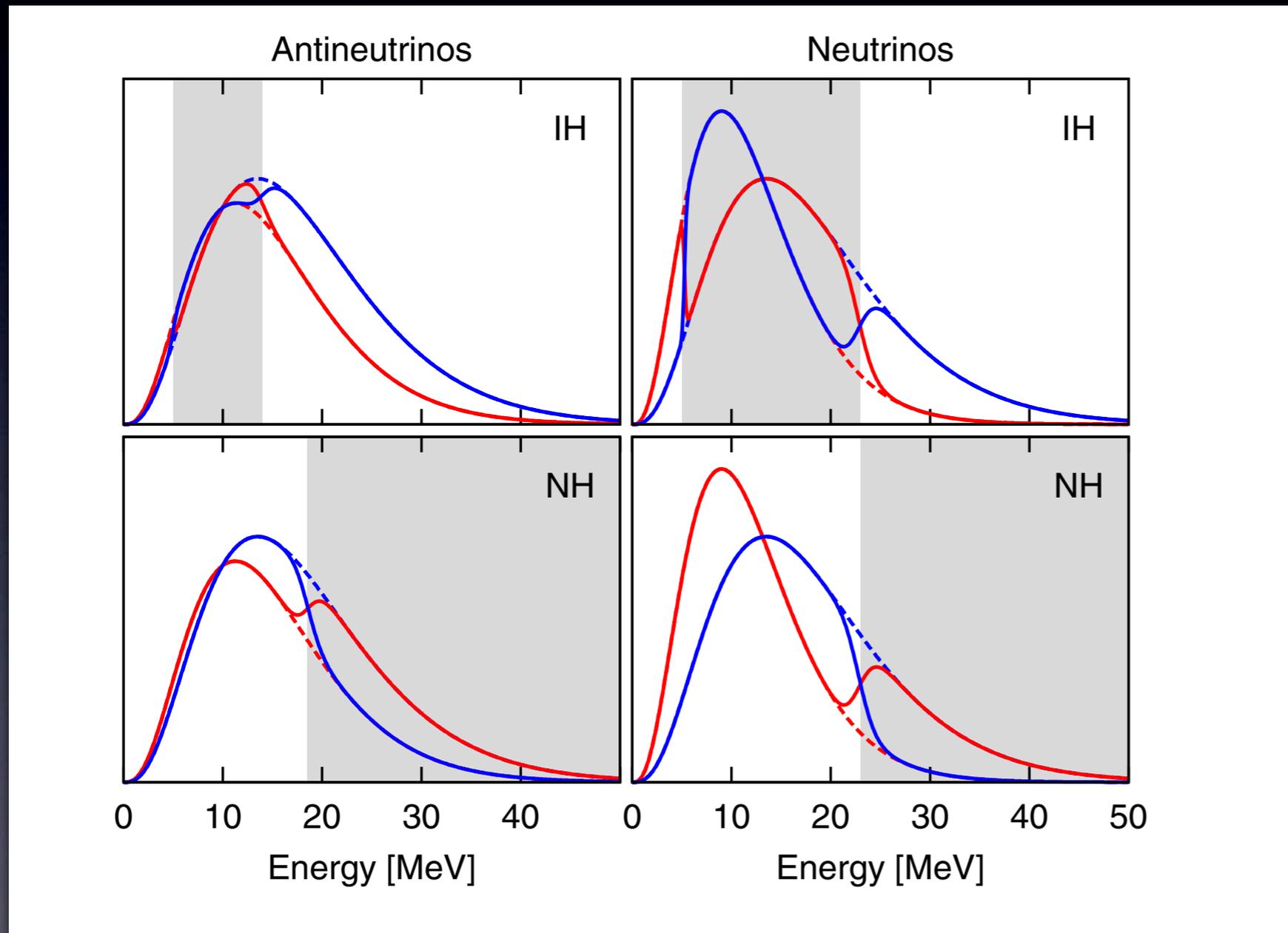
$$L_{\nu_e} = 10^{53} \text{ erg/s}$$

$$\langle E_{\nu_e} \rangle = 11 \text{ MeV}$$

$$r = 5000 \text{ km}$$

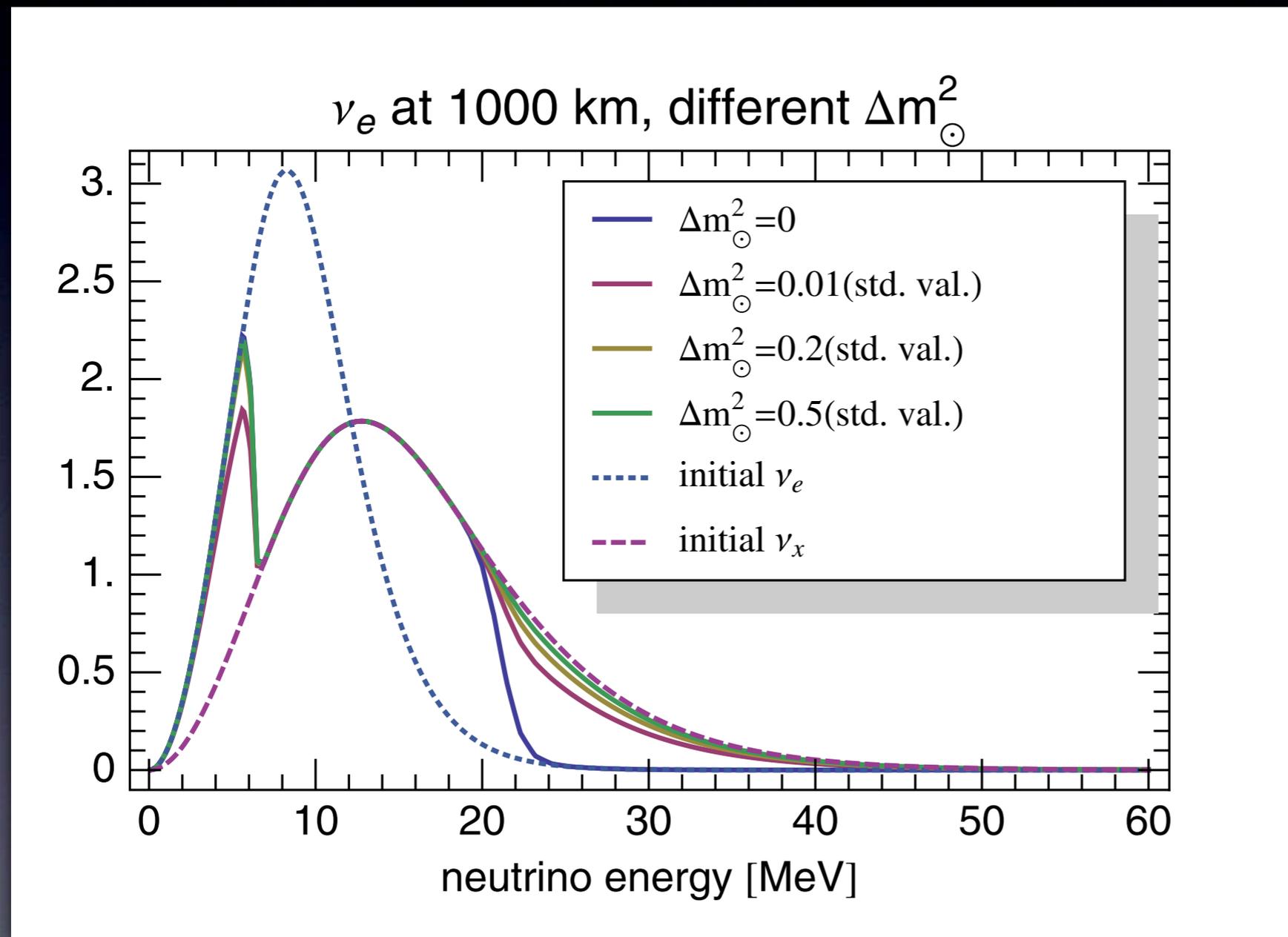
Single Angle: HD et al (2007)  
Multi-Angle: Cherry et al (2010)

# Multiple Spectral Splits



Dasgupta et al (2009)

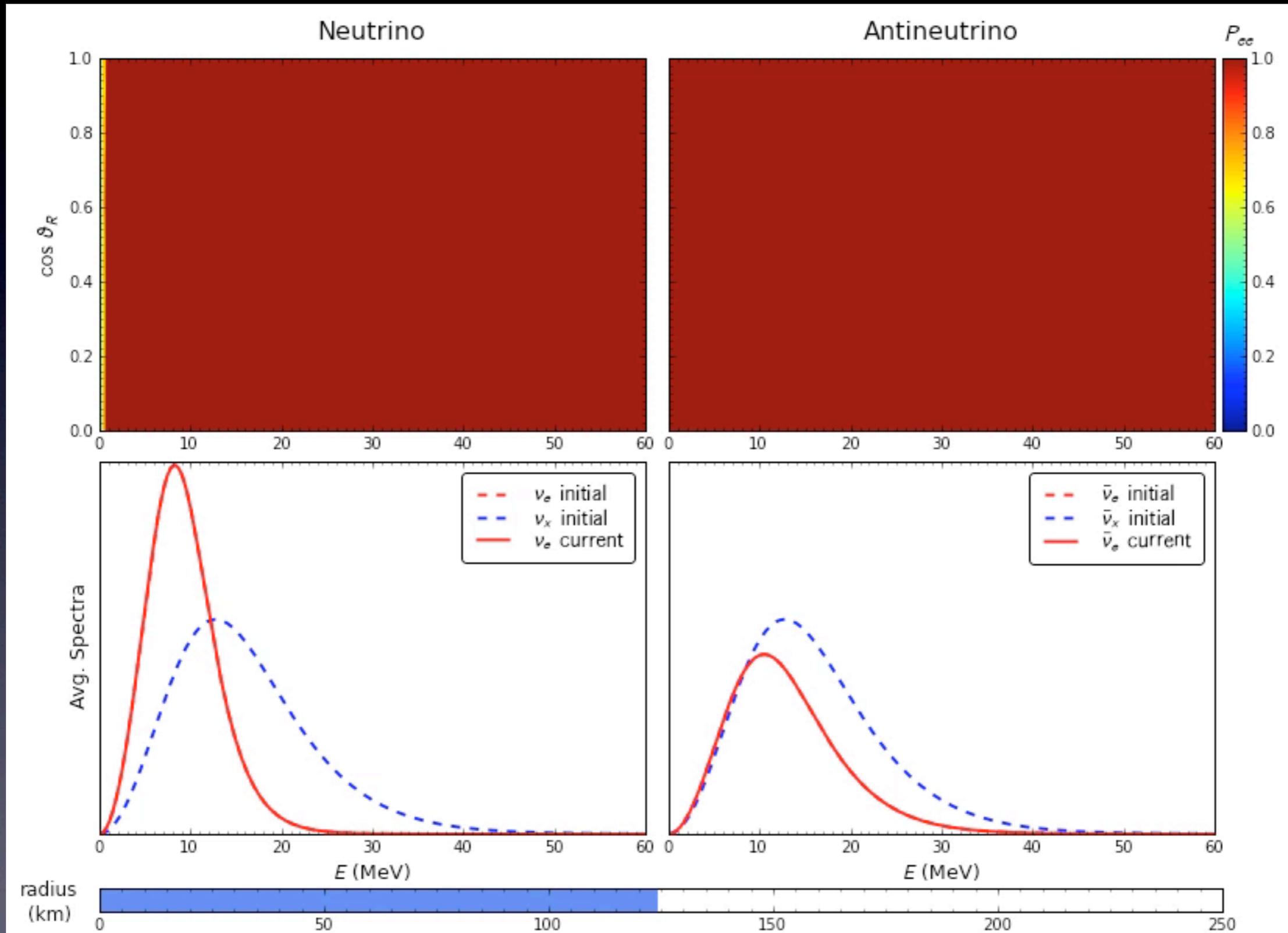
# 3 Flavor Instability



Friedland (2010)

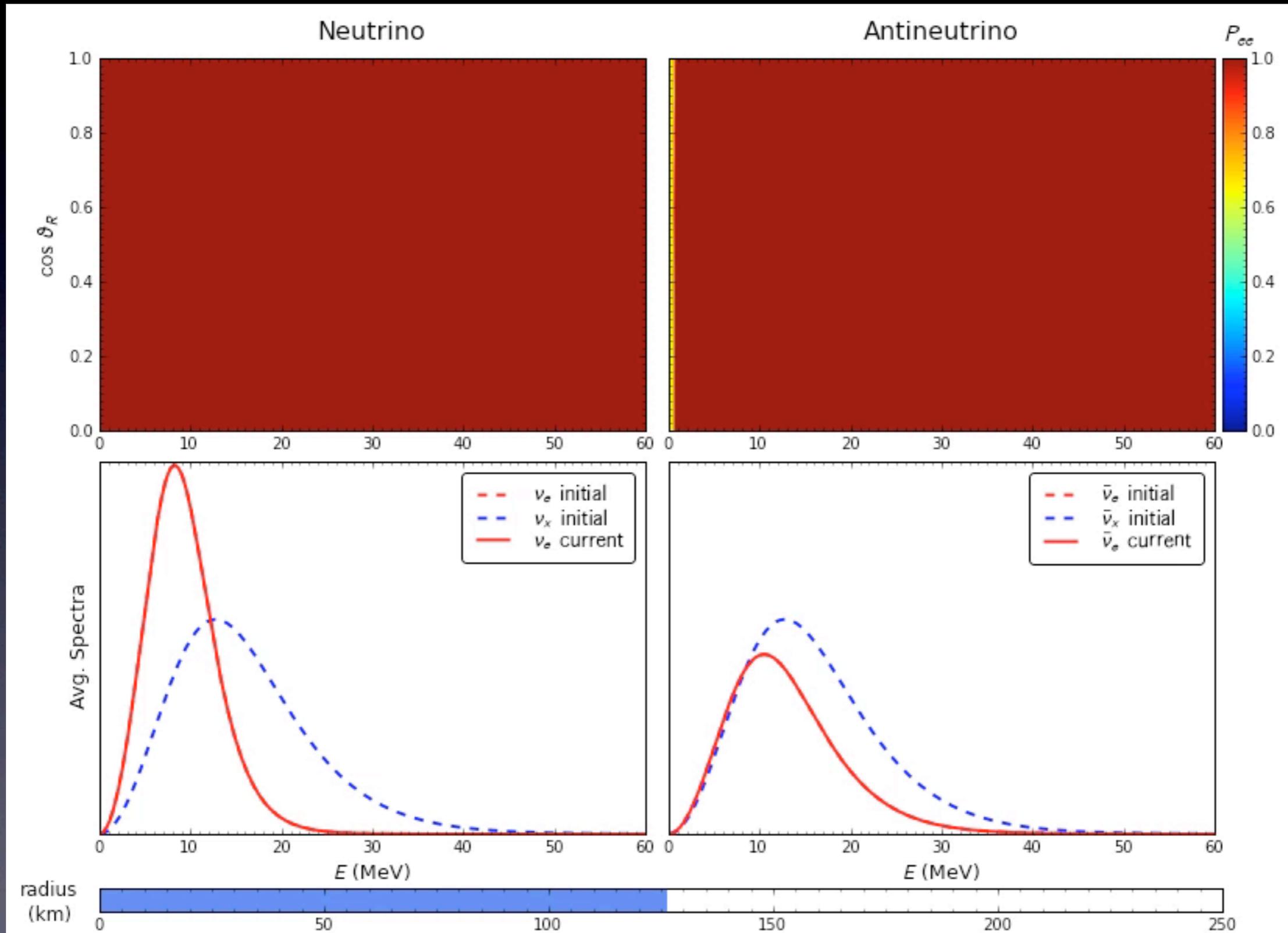
$$\langle L_{\nu_e} \rangle = 4.1 \text{ foe}, \quad \langle L_{\bar{\nu}_e} \rangle = 4.3 \text{ foe}, \quad \langle L_{\nu_x, \bar{\nu}_x} \rangle = 7.9 \text{ foe}$$

$$\langle E_{\nu_e} \rangle = 9.4 \text{ MeV}, \quad \langle E_{\bar{\nu}_e} \rangle = 13.0 \text{ MeV}, \quad \langle E_{\nu_x, \bar{\nu}_x} \rangle = 15.8 \text{ MeV}$$

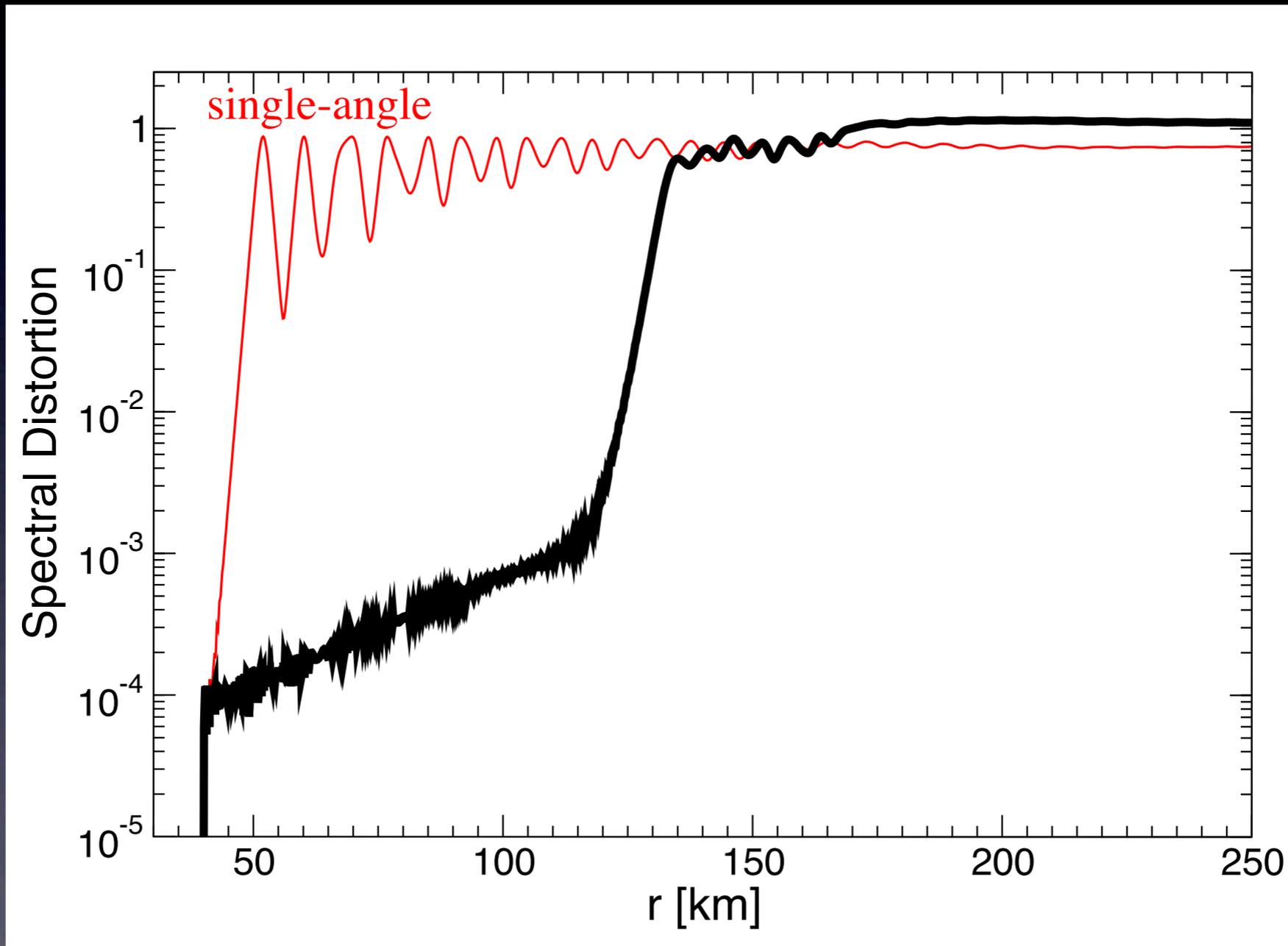


$$\langle L_{\nu_e} \rangle = 4.1 \text{ foe}, \quad \langle L_{\bar{\nu}_e} \rangle = 4.3 \text{ foe}, \quad \langle L_{\nu_x, \bar{\nu}_x} \rangle = 7.9 \text{ foe}$$

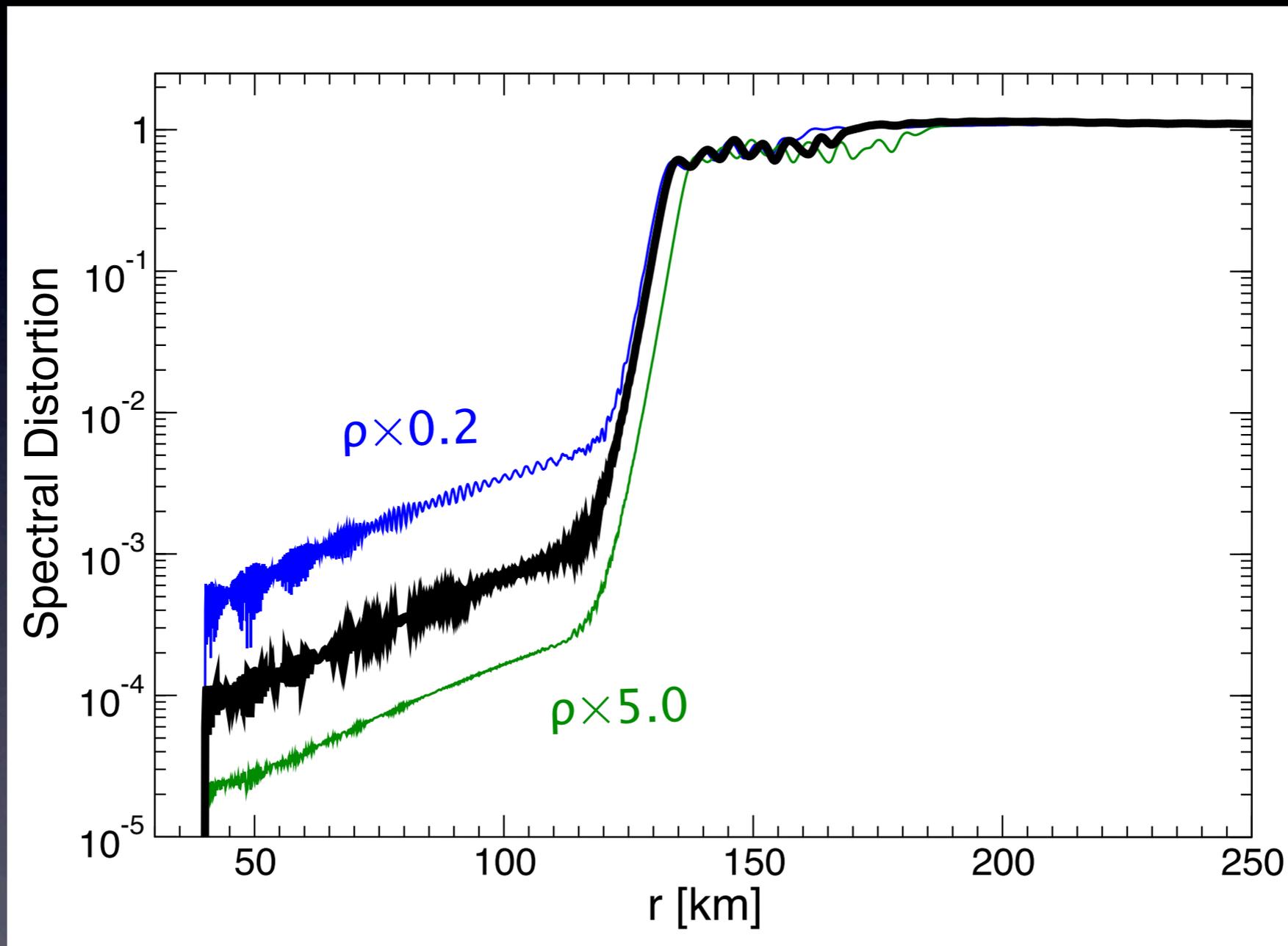
$$\langle E_{\nu_e} \rangle = 9.4 \text{ MeV}, \quad \langle E_{\bar{\nu}_e} \rangle = 13.0 \text{ MeV}, \quad \langle E_{\nu_x, \bar{\nu}_x} \rangle = 15.8 \text{ MeV}$$



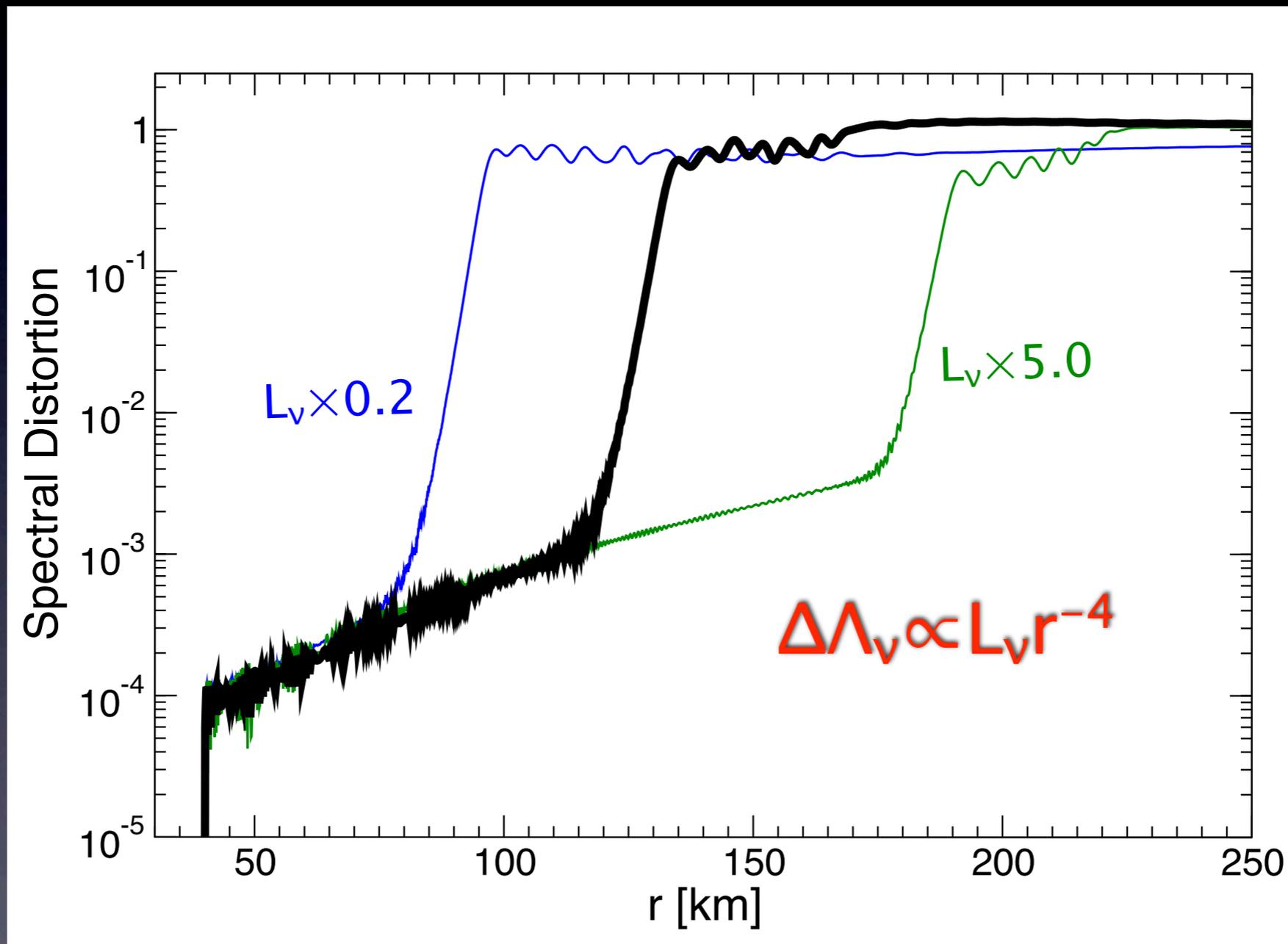
# Multiangangle Suppression



# Multiangangle Suppression

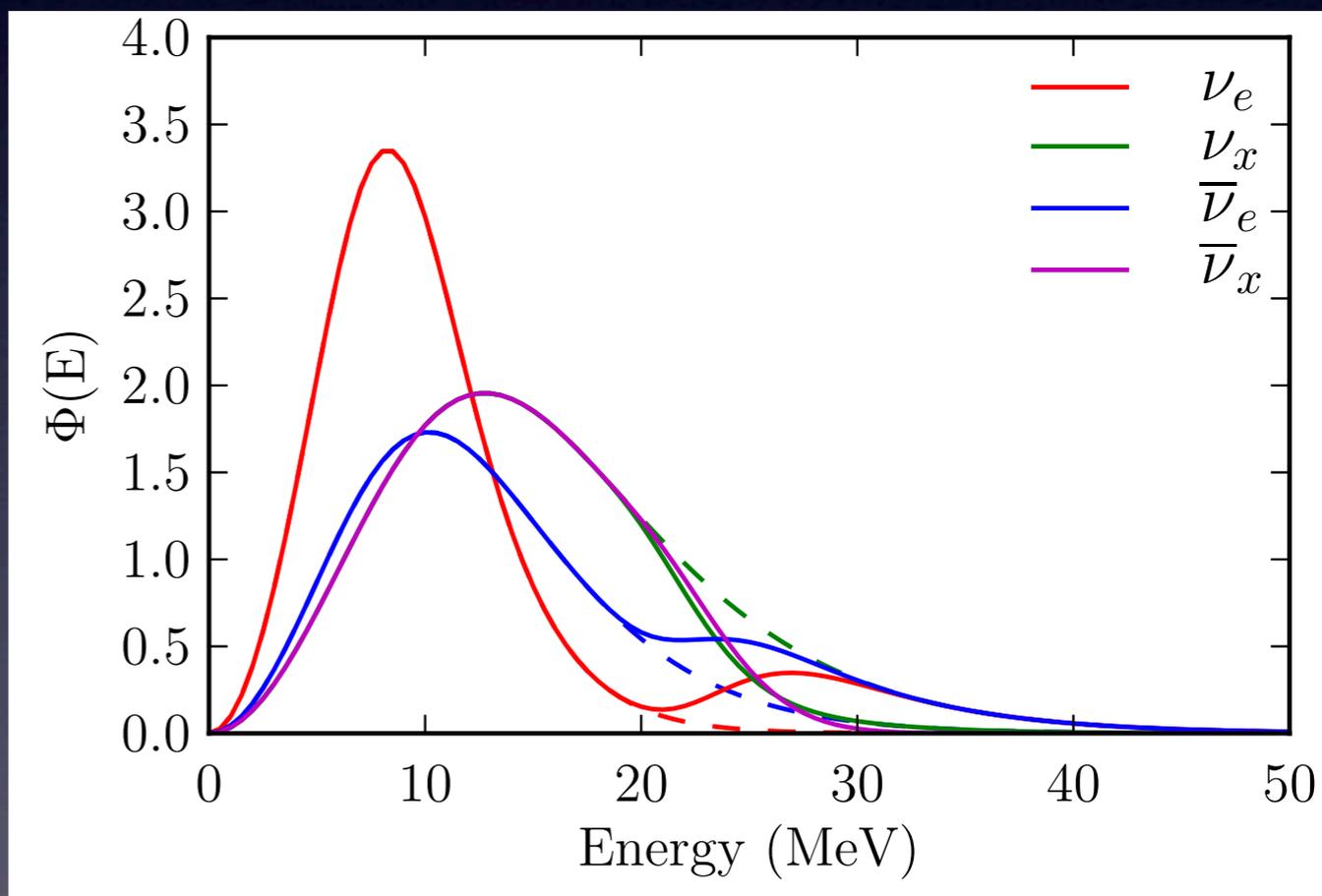
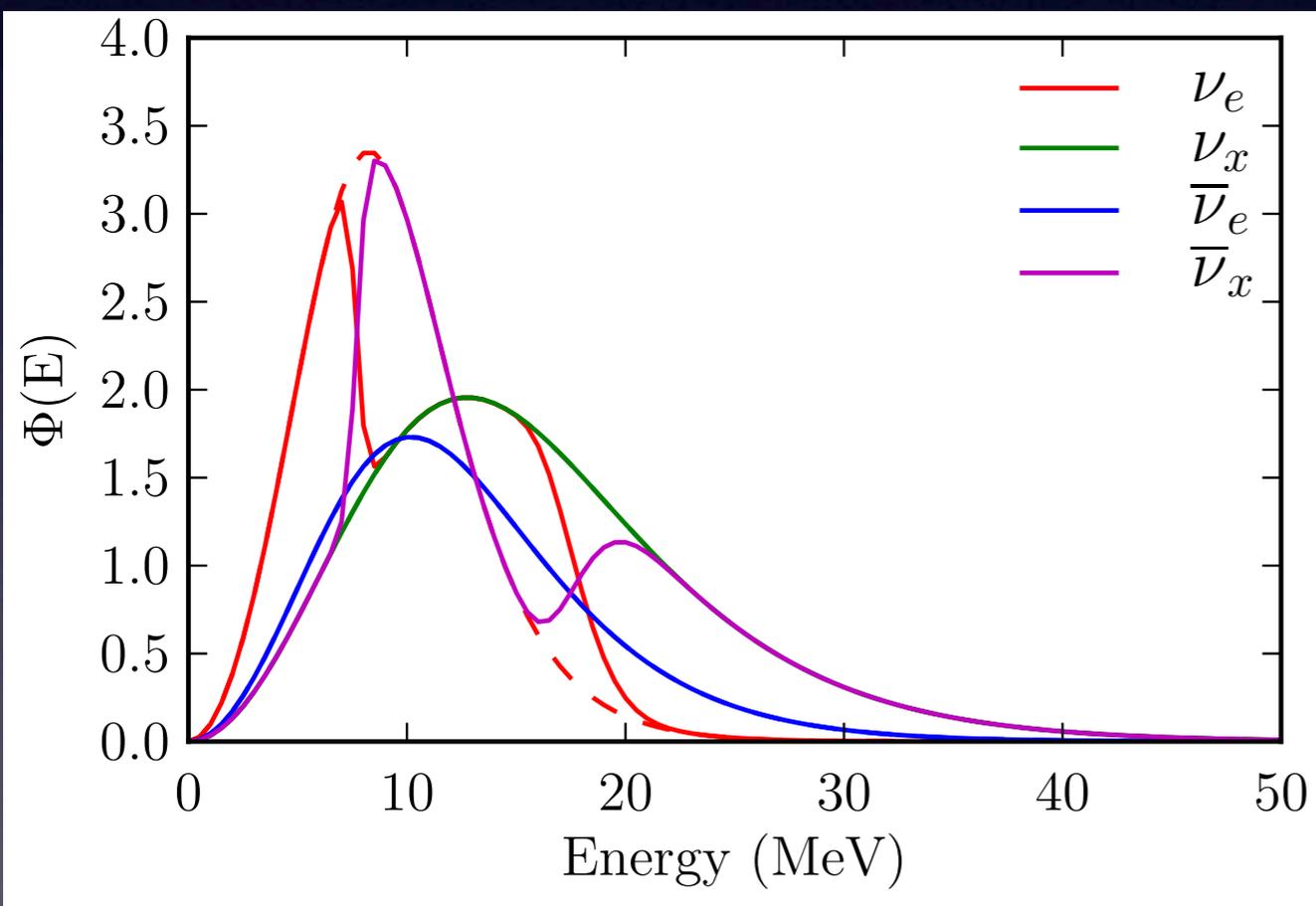


# Multiangle Suppression



# In Magnetic Field

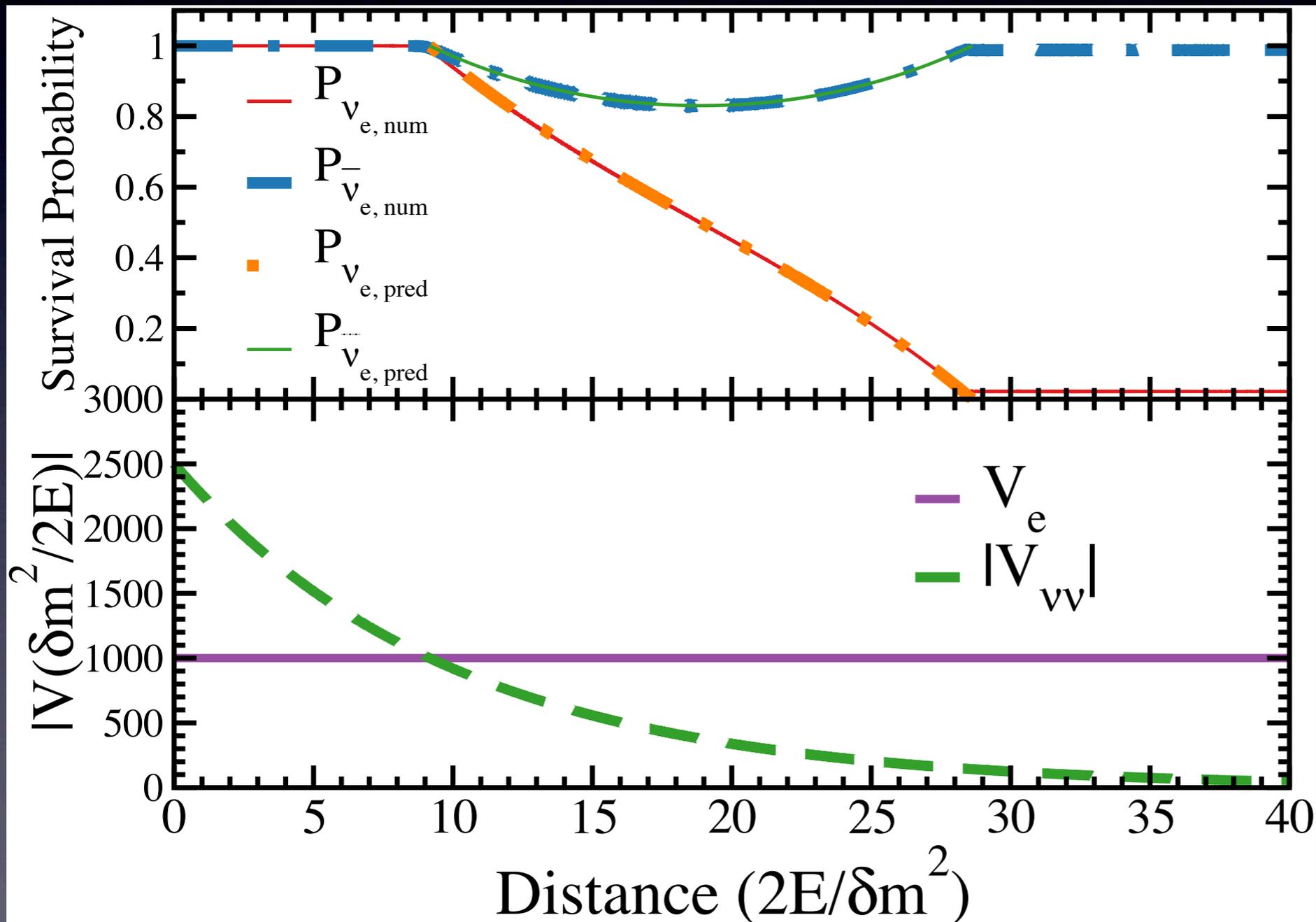
Assume neutrinos to be Majorana particles.



de Gouvêa & Shalgar (2012, 2013)

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# Matter-Neutrino Resonance



- Anti-neutrino excess
- Merging compact objects
- Single-angle

Malkus, Friedland, McLaughlin (2014)

**YES!! You can make  
discoveries through  
numerical calculations.**

But are you sure  
whether the numerical  
calculations are  
correct?